GAO.

July 1, 1987

SOCIAL SECURITY ADMINISTRATION

Questionnaire Responses From Mid-Level Managers and Employees





039368

Preface

This staff study supplements our report <u>Social Security Administration:</u> Stable Leadership and Better Management Needed to Improve Effectiveness (GAO/HRD-87-39, Mar. 18, 1987). The staff study contains the results of the questionnaires we sent to SSA mid-level managers and employees. The report included analyses of selected responses to the questionnaires, and this staff study presents their full results.

The questionnaires developed substantial information on mid-level managers' and employees' perceptions which should be useful to SSA managers and employees. Others interested in management or personnel matters in general should also find the data useful.

J. William Gadsby Associate Director

Contents

Preface		1
Appendix I		. 4
Results of Mid-Level	Objectives	
	Methodology	4 4
Managers' Questionnaire Survey	Mid-Level Managers' Responses	. 6
Appendix II		37
Results of Employees'	Objectives	37
- •	Methodology	37
Questionnaire Survey	Employees' Responses	39
Tables	Table I.1: Number of Mid-Level Managers in Initial and Adjusted Universes	5
	Table I.2: Number of Mid-Level Managers in Initial and Adjusted Samples	5
	Table I.3: Mid-Level Managers' Questionnaire Response Rates	6
	Table I.4: Number of Mid-Level Managers Who Responded by Selected Organizational Components	6
	Table I.5: Tabulation of Responses to SSA Mid-Level Managers' Survey Questionnaire	7
	Table II.1: Number of Employees in Original and Adjusted Universes	38
	Table II.2: Number of Employees in Initial and Adjusted Samples	38
	Table II.3: Employees' Questionnaire Response Rates	39
	Table II.4: Tabulation of Responses to SSA Employees'	40

Contents

Abbreviations

ADP	automated data processing
CR/SR	claims representatives and service representatives
Do/Bo	district office/branch office
GJT	generic job task
HHS	Department of Health and Human Services
OFA	Office of Family Assistance
OHA	Office of Hearings and Appeals
OMB	Office of Management and Budget
OPM	Office of Personnel Management
POMS	Program Operations Manual System
SES	Senior Executive Service
SSA	Social Security Administration

Results of Mid-Level Managers' Questionnaire Survey

Objectives

In June 1986, we mailed a questionnaire to 813 Social Security Administration (SSA) mid-level managers. The purpose of the questionnaire was to obtain the managers' perspectives on the continued existence of previously identified problems at SSA and potential problem areas identified by researchers who have studied large organizations. The areas of inquiry included

- organization and the organizational environment;
- policy, planning, and budgeting;
- work-force planning/staffing, training, and development;
- performance management, including performance assessment, appraisals, and awards;
- · reviews and evaluations; and
- information resource management.

Methodology

The questionnaire was pretested in the Baltimore, Maryland area with seven mid-level managers: four from district, branch, and area offices and three from headquarters components. We also provided copies of the draft questionnaire to top SSA headquarters officials for review. Based on the results of the pretest and top officials' comments, we revised the questionnaire to help ensure that all questions were fair, relevant, easy to understand and answer, and relatively free of design flaws that could introduce bias or error into the study results. The responses to the pretest questionnaire were not included in the final results.

We mailed a standardized questionnaire to all mid-level managers in SSA headquarters organizational components and to selected field managers. At headquarters we included all deputy associate commissioners, office and division directors and their deputy directors for all components except for the Office of Management, Budget and Personnel. For the latter component we included only the managers in its Office of Assessment, which is responsible for reviewing the quality of SSA's mission-related activities, and excluded the others because they are primarily responsible for administrative support functions, which were being reviewed.

In the field, we included all deputy regional commissioners, assistant regional commissioners, area managers, deputy program service center directors and process branch managers, regional chief administrative law judges, administrative law judges-in-charge in Offices of Hearings and Appeals (OHA), and data operations center managers. We selected a

Appendix I Results of Mid-Level Managers' Questionnaire Survey

random sample (300) of district office/branch office (Do/Bo) managers because of their large numbers (1,311). The questionnaire was administered to the mid-level managers by mail, with an initial and two follow-up mailings.

We adjusted the original universe and the subsequent sample of managers to account for those who had either retired, died, left the agency, were no longer in a management position, had less than 1 year of SSA experience, or should not otherwise have been included (i.e., managers in the Office of Family Assistance, which is no longer in SSA).

The initial and the adjusted universes for mid-level managers at headquarters and field offices are shown in table I.1.

Table I.1: Number of Mid-Level Managers in Initial and Adjusted Universes

	Original universe	Adjusted universe
Headquarters and other offices	513	490
Do/Bos	1,311	1,272
Total	1,824	1,762

The adjustments to the initial sample are shown in table I.2.

	Initial		Le	ss than 1			Not a	Adjusted
	sample	OFA	Retired	year	Died	Left SSA	manager	sample
Headquarters and other offices	513°	10	7	5	0	0	1	490
Do/Bos	300	0	7	0	1	1	0	291
Total	813	10	14	5	1	1	1	781

^aEveryone in the universe in this category was included in the sample.

A total of 645 managers responded to the questionnaire by our closing date of September 1986, for an overall response rate of 83 percent based on the adjusted sample, as table I.3 shows.

Appendix I Results of Mid-Level Managers' Questionnaire Survey

Table I.3: Mid-Level Managers' Questionnaire Response Rates

	Adjusted	Respons	ses
	sample	Number	Percent
Headquarters and other offices	490	384	78.4
Do/Bos	291	261	89.7
Total	781	645	82.6

We believe the results of the questionnaire are statistically projectable to our universe of SSA mid-level managers in the organizational components we sampled. Table I.4 shows the number of managers who responded from each of these components.

Table I.4: Number of Mid-Level Managers Who Responded by Selected Organizational Components

, , , , , , , , , , , , , , , , , , , ,	
Headquarters:	
Systems	63
Other	96
Subtotal	159
Field offices:	
Do/Bos	261
Hearings and appeals	111
Area	65
Other	49
Subtotal	486
Total	645

Mid-Level Managers' Responses

Managers' responses are shown in table I.5 by six groups, to highlight and compare the responses of major organizational components. The responses of headquarters managers are shown by two components: systems and "other" headquarters offices. The responses for field offices are shown for managers by four components: Do/Bos, (OHAS), area offices, and "other" offices, including teleservice centers.

Unless otherwise noted, the numbers in table I.5 represent the percentage of managers in each of these components who responded. For narrative response questions, only the question is shown. In developing our percentages, we used appropriate weighting and estimating techniques. In this regard, the percentages in the "total" column are the weighted estimate that applies to the entire SSA universe. For ease of presentation, we combined the first two and last two response categories for those questions that had a 5-point response scale—e.g., very great extent, great extent, moderate extent, some extent, and little or no extent. In

Appendix I Results of Mid-Level Managers' Questionnaire Survey

addition, we show only the affirmative responses for the screening part of some two-part questions—questions that had an initial screening question, which, if answered in the affirmative, required a response to an extent scale question—e.g., question 26. The tabulation begins with question 6 because we excluded the background questions, such as length of service and type and size of office.

All response percentages with 0.5 or greater were rounded up to the next whole number and those with less than 0.5 were rounded down; consequently, the responses for a question may not total to 100. Further, nonresponsive replies (i.e., missing responses) were not considered in the percentage computations. Nonresponsive replies were generally 5 percent or less. In most of these instances, we believe the respondents either misunderstood the question and/or the instructions, inadvertently skipped it, or were not knowledgeable about the topic of the question and left it blank. This rationale is based on notations that some respondents made on their questionnaires and follow-up interviews concerning nonresponsive replies.

We calculated sampling errors for the totals for key variables used in our report. All were within plus or minus 5 percentage points, except for some parts of questions 16, 57, 77, and 85, where the sampling error ranged from plus or minus 5.1 to 10.8 percentage points.

To obtain a nationwide perspective of SSA mid-level managers' responses, we combined all their responses. This was accomplished through appropriate weighting and statistical testing and estimating techniques. Additionally, an asterisk is used to denote questions where the differences in responses over all the six components are statistically significant.

Figures in percents							
	<u>Headqu</u>	Headquarters		lers		Area	
	Systems	Other	Do/Bo	OHA	office	Other	Total
I. Organizational Environment							
Q.6.Extent to which SSA encourages staff suggestions for per	ormance and pro	ductivity	improve	ments:*			
Great or very great extent	3 8	52	· 45	36	68	67	46
Moderate extent	35	35	38	36	19	27	36
Some, or little or no extent	27	13	18	28	14	6	18

				Field O	ffices		
	Headqua		D-/D-		Area	O4	Tatel
0.7 100 11 100 11 100 11	Systems		Do/Bo	OHA	office	Other	Total
Q.7. Whether the SSA suggestion program has been effective in enc Probably yes or definitely yes	ouraging em 70	ipioyee: 70	s to subm 45	iit ideas: 48	54	57	49
Undecided	18	12	12	16	2	10	12
Probably no or definitely no	13	19	43	36	45	33	39
Q.8. Extent to which a formal nationwide mechanism exists for (1) id	entifying bes	st opera	ting prac	tices and	d (2) sha	ring	
them among similar work units:	, ,	•	•		` '		
8.1. Identifying best practices	40	00	40	00	00		4 77
Great or very great extent Moderate extent	13 35	23 37	16 31	20 38	20 28	14 43	17 33
Some, or little or no extent	52 52	41	53	42	52	43	51
8.2. Sharing identified practices among similar units:							
Great or very great extent	8	20	19	21	23	18	19
Moderate extent	32	43	28	36	25	39	30
Some, or little or no extent	60	38	53	43	52	43	51
Q.9. Whether SSA units have developed a formal process for identify	ing best op	erating	practices	for their	own use):*	
Yes	40	43	57	63	77	56	57
No	60	57	43	37	23	44	43
Q.10. Extent to which SSA has been receptive to new ideas or method	ds for impro	ving un	it operation	ons:*			- Connect
Great or very great extent	27	46	40	26	51	43	40
Moderate extent	44	36	42	32	34	51	41
Some, or little or no extent	29	18	18	41	15	6	19
Q.11. Sufficiency of the amount of freedom SSA mid-level managers	are given to	make d	lecisions	which a	ffect the	ir	
units:*	•						
Somewhat more or more than sufficient	16	19	18	20	34	31	19
Generally sufficient Somewhat less or less than sufficient	19 61	35 46	55 27	43 37	34 32	43 27	50 31
No opinion	3	0	0	0	0	0	0
Q.12. Extent to which SSA has given consideration to the human fact		makina					
Great or very great extent	25	36	37	15	963. 60	47	36
Moderate extent	24	28	33	20	29	47	32
Some, or little or no extent	49	36	30	62	11	6	32
No opinion	2	1	0	4	0	0	0
Q.13. Extent to which maintaining a spirit of teamwork among units is): 			
Great or very great extent	46 22	47 27	49 25	47	65 25	53	50
Moderate extent Some, or little or no extent	32	25	25 25	25 28	11	33 14	25 25
Q.14. The level of morale in SSA units:							
Generally high or very high	29	33	44	47	39	27	42
Neither high nor low	22	21	27	32	29	45	27
Generally low or very low	49	46	29	22	32	29	30
Q.15. Reasons that SSA mid-level managers had for stating that their	r units' mora	le was	generally	high or v	ery high		
Existence of stable leadership in SSA	0	7	16	25	20	8	16
Increasing technological changes*	61	10	22 52	31	56	46	25
Job stability* Certainty as to future of unit	11 0	45 23	52 24	56 29	56 36	46 31	51 24
Obligation as to future of unit	11	16	29	44	48	31	30
Certainty as to future of job*		84	90	79	96	85	- 89
Certainty as to future of job*	67	0-					00
Certainty as to future of job* Good supervision in unit Good management in SSA*	17	23	33	15	60	62	
Certainty as to future of job* Good supervision in unit Good management in SSA* Increased promotion potential	17 17	23 10	33 5	15 19	16	0	7
Certainty as to future of job* Good supervision in unit Good management in SSA*	17	23	33	15			32 7 49 57

			Field Offices			
		D- (D-	0114	Area	Oak	Takal
					Otner	Total
					E 7	E 2
						52 15
						81
						35
						40
						6
						25
				62		59
			33			7
	-		33	39		21
			50	52		48
					_ :	19
						13
						83
	94					4
				<u> </u>		
						29
						44
34	26	26	48	9	12	27
25 21 32	26 10 40	2 5 40	19 9 24	8 6 51	18 18 51	6 7 39 47
	24	53	48		1_	47
	~~					
	37		29	32		27
				22		23
			39	42	45	43
^		7	40			7
00	4	7	13	5	8	7
					8	
32	22	5	18	12	8 16	9
32 21	22 19	5 14	18 16	12 14	16 18	9 15
32 21 41	22 19 47	5 14 60	18 16 44	12 14 55	16 18 59	9 15 57
32 21	22 19	5 14	18 16	12 14	16 18	9 15
32 21 41 6	22 19 47 13	5 14 60 21	18 16 44	12 14 55 19	16 18 59	9 15 57 19
32 21 41	22 19 47	5 14 60	18 16 44	12 14 55	16 18 59	9 15 57
32 21 41 6	22 19 47 13 32 18	5 14 60 21 25 17	18 16 44 22 17 9	12 14 55 19 29 22	16 18 59 6	9 15 57 19 25 17
32 21 41 6 32 22 41	22 19 47 13	5 14 60 21 25 17 39	18 16 44 22 17 9 49	12 14 55 19	8 16 18 59 6 27 22 49	9 15 57 19 25 17 40
32 21 41 6	22 19 47 13 32 18	5 14 60 21 25 17	18 16 44 22 17 9	12 14 55 19 29 22	16 18 59 6	9 15 57 19 25 17 40
32 21 41 6 32 22 41	22 19 47 13 32 18 43	5 14 60 21 25 17 39	18 16 44 22 17 9 49	12 14 55 19 29 22 39	8 16 18 59 6 27 22 49	9 15 57 19 25 17 40
32 21 41 6 32 22 41 5	22 19 47 13 32 18 43 7	5 14 60 21 25 17 39 20	18 16 44 22 17 9 49 25	12 14 55 19 29 22 39	8 16 18 59 6 27 22 49 2	9 15 57 19 25 17 40 18
32 21 41 6 32 22 41 5	22 19 47 13 32 18 43 7	5 14 60 21 25 17 39 20	18 16 44 22 17 9 49 25	12 14 55 19 29 22 39 11	8 16 18 59 6 27 22 49 2	9 15 57 19 25 17 40 18
32 21 41 6 32 22 41 5	22 19 47 13 32 18 43 7	5 14 60 21 25 17 39 20	18 16 44 22 17 9 49 25	12 14 55 19 29 22 39 11	8 16 18 59 6 27 22 49 2	9 15 57 19 25 17 40 18
32 21 41 6 32 22 41 5	22 19 47 13 32 18 43 7	5 14 60 21 25 17 39 20	18 16 44 22 17 9 49 25	12 14 55 19 29 22 39 11	8 16 18 59 6 27 22 49 2	9 15 57 19 25 17 40 18
	Systems its' moral 74 19 45 61 36 3 68 71 10 23 23 19 work clim 13 84 3 mate and able worl 15 52 34 el manag 25 21 32 22 54 19 27	74 71 19 16 45 59 61 68 36 39 3 14 68 41 71 75 10 5 23 14 23 11 19 16 work climate and 13 5 84 94 3 1 mate and morale able work enviro 15 31 52 43 34 26 el managers' ab 25 26 21 10 32 40 22 24 54 37 19 29 27 30	Systems Other Do/Bo its' morale was generally 74 71 47 19 16 14 45 59 88 61 68 26 36 39 41 3 14 5 68 41 18 71 75 55 10 5 5 23 14 20 23 11 57 19 16 18 work climate and employe 13 5 12 84 94 84 3 1 4 mate and morale. rable work environment for 15 31 28 52 43 46 34 26 26 el managers' ability to ac 25 26 2 21 10 5 32 40 40 22 24 53 54 37 24 19 29 24	Headquarters Systems Other Do/Bo OHA its' morale was generally low or v 74 71 47 46 19	Headquarters Systems Other Do/Bo OHA Office 183	Name

Headqua Systems 11 16 53 19		Do/Bo 3 4 38	OHA	Area office	Other	Total
11 16 53 19	4 5 53	3 4	7			
16 53 19	5 53	4	7	0		
53 19	53	4		2	6	· 4
19	53 38	ാഠ	2	5	6	5
	38		37	39	61	41
30		55	54	55	27	51
30						
	21	9	19	18	8	12
24	14	18	13	11	16	17
						49
13	12	24	23	22	8	22
			12			10
						16
						50
5	14	27	30	15	12	24
				_		
41						10
						13
						52
6	11	27	35	19	8	25
	9					17
-						15
						42
19	35	24	40	- 17		26
						21
						24
						43 12
20	١٥	12	14	<u> </u>	- 0	12.
07	00	00	0.5	40	40	20
	26					28 22
						39
						11
14	10		17			
4.4	4.4	04	07	17	10	20
						20 24
						46
					4 3	10
22	10	6	15	5	Ω	8
		12		Q Q		13
23 37	63	13 50			60	58
	13	22	28	19		21
						
0	10	F	1/	2	Я	7
						11
				68		53
				23	′ 6	29
	33 13 35 21 40 5 41 16 37 6 33 8 40 19 15 8 52 26 27 10 49 14 21 44 24 32 25 37 6	13 12 35 20 21 15 40 52 5 14 41 25 16 16 37 49 6 11 33 9 8 9 40 46 19 35 15 15 8 27 52 46 26 13 27 26 10 20 49 42 14 13 11 14 21 26 44 52 24 8 32 13 25 13 37 63 6 13 8 13 19 8 49 62	13 12 24 35 20 7 21 15 16 40 52 51 5 14 27 41 25 7 16 16 13 37 49 54 6 11 27 33 9 17 8 9 17 40 46 42 19 35 24 15 15 21 8 27 25 52 46 43 26 13 12 27 26 29 10 20 22 49 42 38 14 13 11 11 14 21 21 26 24 44 52 46 24 8 9 32 13 6 25 13 13 37 63 59 6 13 22 8 13 5 19 8 11 49 62 52	13 12 24 23 35 20 7 12 21 15 16 13 40 52 51 46 5 14 27 30 41 25 7 12 16 16 13 15 37 49 54 39 6 11 27 35 33 9 17 7 8 9 17 7 40 46 42 39 19 35 24 46 15 15 21 32 8 27 25 17 52 46 43 37 26 13 12 14 27 26 29 35 10 20 22 16 49 42 38 36 14 13 11 14 21 26 24 19 44 52 46 40 24 8 9 14 32 13 6 15 25 13 13 9 <t< td=""><td>13 12 24 23 22 35 20 7 12 17 21 15 16 13 19 40 52 51 46 49 5 14 27 30 15 41 25 7 12 9 16 16 13 15 17 37 49 54 39 55 6 11 27 35 19 33 9 17 7 28 8 9 17 7 14 40 46 42 39 42 19 35 24 46 17 15 15 21 32 17 8 27 25 17 29 52 46 43 37 48 26 13 12 14 6 27 26 29 35 19 10 20 22 16 29 49 42 38 36 45 14 13 11 14 8 11 14 21 <td< td=""><td>13 12 24 23 22 8 35 20 7 12 17 12 21 15 16 13 19 18 40 52 51 46 49 57 5 14 27 30 15 12 41 25 7 12 9 14 16 16 13 15 17 12 37 49 54 39 55 65 6 11 27 35 19 8 33 9 17 7 28 17 8 9 17 7 14 13 40 46 42 39 42 55 19 35 24 46 17 15 15 15 21 32 17 18 8 27 25 17 29 18 52 46 43 37 48 55 26 13 12 14 6 8 27 26 29 35 19 10 10 20 22 <t< td=""></t<></td></td<></td></t<>	13 12 24 23 22 35 20 7 12 17 21 15 16 13 19 40 52 51 46 49 5 14 27 30 15 41 25 7 12 9 16 16 13 15 17 37 49 54 39 55 6 11 27 35 19 33 9 17 7 28 8 9 17 7 14 40 46 42 39 42 19 35 24 46 17 15 15 21 32 17 8 27 25 17 29 52 46 43 37 48 26 13 12 14 6 27 26 29 35 19 10 20 22 16 29 49 42 38 36 45 14 13 11 14 8 11 14 21 <td< td=""><td>13 12 24 23 22 8 35 20 7 12 17 12 21 15 16 13 19 18 40 52 51 46 49 57 5 14 27 30 15 12 41 25 7 12 9 14 16 16 13 15 17 12 37 49 54 39 55 65 6 11 27 35 19 8 33 9 17 7 28 17 8 9 17 7 14 13 40 46 42 39 42 55 19 35 24 46 17 15 15 15 21 32 17 18 8 27 25 17 29 18 52 46 43 37 48 55 26 13 12 14 6 8 27 26 29 35 19 10 10 20 22 <t< td=""></t<></td></td<>	13 12 24 23 22 8 35 20 7 12 17 12 21 15 16 13 19 18 40 52 51 46 49 57 5 14 27 30 15 12 41 25 7 12 9 14 16 16 13 15 17 12 37 49 54 39 55 65 6 11 27 35 19 8 33 9 17 7 28 17 8 9 17 7 14 13 40 46 42 39 42 55 19 35 24 46 17 15 15 15 21 32 17 18 8 27 25 17 29 18 52 46 43 37 48 55 26 13 12 14 6 8 27 26 29 35 19 10 10 20 22 <t< td=""></t<>

		_		Field Off				
	<u>Headqu</u> Systems		Do/Bo	ОНА	Area office	Other	Tota	
Q.21. Whether the managers' units were part of a reorganization at				OHA	OTHE	Oulei	TOLE	
Yes	92	87	ars: 15	69	19	63	28	
No	6	9	79	25 7	82	31	6	
Don't know	2	4	6	7	0	6		
Q.22. Narrative comment: description of reorganization in Q.21.								
Q.23. Effect of the unit's most recent reorganization on its performa	ance in eight a	areas:	***************************************				,	
23.1. Meeting unit mission and program requirements:								
Somewhat or greatly improved	28	46	38	39	36	47	39 28	
Neither improved nor impaired	24	16	35	31	36	27	28	
Somewhat or greatly impaired	48	39	27	31	27	27	30	
23.2. Being held accountable for accomplishing mission:								
Somewhat or greatly improved	26	41	24	29	36	37	30	
Neither improved nor impaired	38 36	27 33	68	27	46	47	46	
Somewhat or greatly impaired		- 33	8	44	18	17	24	
23.3. Efficiency of operations:	00	40	00	00	00	r	00	
Somewhat or greatly improved	23	40	38	38	36	57	38	
Neither improved nor impaired Somewhat or greatly impaired	23 54	19 41	27 35	21 41	18 46	13 30	23 40	
	34	41		41	40			
23.4. Meeting timeliness goals:	40	20	٥r	40	00	47	0.4	
Somewhat or greatly improved Neither improved nor impaired	18 40	33 39	35 38	42 35	36 55	47 33	34 38	
Somewhat or greatly impaired	40 42	28	27	23	9	20	28	
23.5. Communication with field/ headquarters units:								
Somewhat or greatly improved	16	31	16	18	36	47	22	
Neither improved nor impaired	51	46	49	63	18	30	49	
Somewhat or greatly impaired	33	23	35	18	46	23	29	
23.6. Executive level awareness of progress toward goal attainment:								
Somewhat or greatly improved	26	35	24	39	36	43	31	
Neither improved nor impaired	46	39	57	49	55	40	49	
Somewhat or greatly impaired	28	26	19	13	9	17	20	
23.7. Coordination among organizational units:								
Somewhat or greatly improved	14	42	24	33	36	57	31	
Neither improved nor impaired	26	22	41	31	27	10	31	
Somewhat or greatly impaired	60	36	35	36	36	33	39	
23.8. Ability to provide or obtain needed management or technical								
expertise:			00	00	07	40	00	
Somewhat or greatly improved	18	31	32	36	27	43 30	32	
Neither improved nor impaired Somewhat or greatly impaired	33 49	39 30	46 22	40 24	46 27	27	41 28	
Q.24. Amount of authority delegated to mid- level managers to effe More or much more than needed			ınıts: 3	4	5	4	3	
An appropriate amount	3 27	3 48	56	46	48	63	53	
Less or much less than needed	70	48	41	51	48	33	44	
Q.25. Consequences of managers having less or much less authori								
Q.25. Consequences of managers having less of much less authori Delays created*	ty than neede 89	a: 80	63	64	81	69	67	
Appearance of lack of trust*	75	63	49	35	84	63	52	
	80	70	77	62	90	88	76	
Decisions are made too removed*	6 U	70	11	UZ	90	00	, 0	

				Field C	ffices		
	<u>Headqua</u> Systems		Do/Bo	ОНА	Area office	Other	Tota
Q.26. Whether managers (1) receive 11 types of information/as 26.1. Receive the information/ assistance							
Reviews or evaluations of unit	32	53	94	84	81	80	87
Demographic projections*	6	21	42	28	48	51	39
Budget data (staff allocation information)	88	81	87	53	97	94	8
Cost analyses*	23	32	12	17	37	41	- 16
Accounting data*	46	31	15	27	44	55	20
Personnel services	87	90	91	80	100	96	90
Training	98	97	95	93	99	98	90
Realty and space management	79	82	82	66	99	80	8
Procurement services	91	87	90	79	99	84	89
ADP services/computer assistance or information	84	80	82	77	85	94	8
Management information	79	90	99	96	99	98	9
Q.26.2. Usefulness of information and/or assistance received f 26.2.1. Review or evaluation of unit*	rom SSA sources	(for tho	se manag	ers who	receive	d it):	
Useful	25	36	48	51	63	36	48
Somewhat useful	45	51	41	38	33	54	42
Not useful	30	11	10	11	4	10	10
Don't know	0	2	0	0	0	0	(
26.2.2. Demographic projections	_				_		
Useful	0	53	28	43	45	32	3
Somewhat useful	100	32	55	30	48	56	53
Not useful	0	16	16	23	7	8	15
Don't know	0	0	2	3	0	4	2
26.2.3. Budget data (staff allocations)	10	r.,		40	07	70	
Useful	49	51	55	40	87	72	56
Somewhat useful	33	36	33	39	10	28	32
Not useful	18	10	11	18	3	0	11
Don't know	0	3	1	4	0	0	1
26.2.4. Cost analyses Useful	29	42	31	39	50	60	37
Somewhat useful	43	42 48	38	28	50 50	30	39
Not useful	43 29	10	36 31	28 28	0	10	23
Don't know	29	0	0	6	0	0	ر د
26.2.5. Accounting data							
Useful	35	38	29	50	46	41	35
Somewhat useful	52	48	55	29	47	59	51
Not useful	14	10	16	14	0	0	12
Don't know	0	3	0	7	7	.0	2
26.2.6. Personnel services							
Useful	59	60	62	59	71	72	62
Somewhat useful	32	34	34	32	28	26	33
Not useful	9	5	5	9	2	2	5
Don't know	0	1	0	0	0	0	C
26.2.7. Training			<u>-</u>				
Useful	69	58	71	70	78	73	71
Somewhat useful	27	42	27	28	20	25	28
Not useful	3	1	2	2	2	2	2

		_		Field C	ffices		
	<u>Headqu</u> Systems		Do/Bo	ОНА	Area office	Other	Total
26.2.8. Realty and space management	O y a to ma	Other	00/00	UIIA	011100	Other	10(61
Useful	32	30	47	43	64	58	46
Somewhat useful	44	39	42	27	31	29	40
Not useful	24	31	10	30	5	11	13
Don't know	0	0	1	0	Ō	3	1
26.2.9. Procurement services							
Useful	51	33	64	64	63	59	61
Somewhat useful	37	49	31	33	36	32	33
Not useful	12	16	4	2	2	7	5
Don't know	0	1	1	0	0	2	1
26.2.10. ADP services/computer assistance or information	077						
Useful	67	46	64	74	66	61	63
Somewhat useful	29	49	34	20	33	39	34
Not useful	4	5	2	5	2	0	3
Don't know	0	0	0	1	0	0	0
26.2.11. Management information	00	40	20	50	00	07	0.5
Useful	33	48	68	52	69	67	65
Somewhat useful Not useful	52 15	43 10	30 2	42 6	31 0	31 2	32 3
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~					· · · · · · · · · · · · · · · · · · ·		
Q.27. The top three most important work measurement factors 27.1. Most important factor	emphasized by 5	SA:					
Quality	•	39	•	•	31	47	-
Timeliness	61	•	43	•	31	-	41
Quantity	•	•	•	50	•	•	•
27.2. Second most important factor							
Quality	36	•	49	•	48		43
Timeliness	•	40	•	44	•	32	-
27.3. Third most important factor	0.4						
Efficiency	31	•	•	•	05	•	•
Timeliness	• 01	•	•	•	25	20	04
Quantity	31	23	•	26	•	28	24
Backlog Courtesy	•	:	24	20	:	:	:
Courtesy	***************************************		<u></u>				
III. Policy							
Q.28. Extent to which 10 problems have hindered units' ability	to implement prog	ram pol	icies:				
28.1. Policy-making process too time consuming*  Great or very great extent	56	37	28	26	38	35	30
Moderate extent	11	23	25	14	27	31	24
Some, or little or no extent	24	30	30	33	27	35	30
Don't know/not a problem	10	10	17	26		õ	16
28.2. Decisions are not made*							
Great or very great extent	52	29	20	16	17	25	22
Moderate extent	18	23	15	24	22	20	17
Some, or little or no extent	24	40	44	40	45	39	43
Don't know/not a problem	6	8	20	20	16	16	19
28.3. Excessive levels of review*							
Great or very great extent	55	41	27	26	33	33	30
Moderate extent	19	18	26	22	33	27	25
Some, or little or no extent	19	34	36	36	27	37	35
Don't know/not a problem	7	7	11	17	8	4	11
	, t,					(con	tinued)

		_		Field C	ffices		
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Total
28.4. Unrealistic or unclear objectives*							
Great or very great extent	41	24	20	26	9	10	21
Moderate extent	25	18	18	20	23	20	19
Some, or little or no extent	25	46	50	39	50	63	48
Don't know/not a problem	8	13	12	15	17	6	12
28.5. Lack of adequate guidance or interpretation from top management*			. ~				
Great or very great extent	41	27	15	23	11	4	17
Moderate extent	24 24	13 51	19 52	17 <b>4</b> 1	17 58	22 61	19 51
Some, or little or no extent Don't know/not a problem	11	9	15	19	14	12	14
			10			· · · · · · · · · · · · · · · · · · ·	
28.6. Lack of adequate staff to implement program policies	29	22	36	39	25	14	34
Great or very great extent  Moderate extent	19	16	19	14	16	16	18
Some, or little or no extent	40	46	35	34	47	63	37
Don't know/not a problem	13	17	10	14	13	6	11
28.7. Intent of legislation not clear							
Great or very great extent	6	6	12	8	9	12	11
Moderate extent	16	4	17	8	14	14	15
Some, or little or no extent	35	62	52	50	52	57	52
Don't know/not a problem	43	28	19	33	25	16	22
28.8. Major changes initiated too frequently*							
Great or very great extent	27	13	35	19	20	14	31
Moderate extent	21	17	18	21	22	25	18
Some, or little or no extent	35	49	37	42	45	53	39
Don't know/not a problem	18	22	11	18	13	8	12
28.9. Difficulty in coordinating the input of or conflicting policies among							
various units	20	1.4	10	01	10	22	40
Great or very great extent  Moderate extent	30 25	14 21	19 15	21 11	16 25	16	19 16
Some, or little or no extent	32	50	48	49	48	49	48
Don't know/not a problem	13	16	18	19	11	12	17
28.10. Uncertain of SSA's priorities as they relate to the unit							
Great or very great extent	19	10	7	11	3	6	8
Moderate extent	14	10	9	8	13	8	9
Some, or little or no extent	53	53	61	48	56	63	59
Don't know/not a problem	14	26	23	33	28	22	24
Q.29. Extent to which POMS has improved over the last 3 years in three	ee areas:						
29.1. Understandability	_	_			•	_	
Great or very great extent	5	3	14	6	8	4	12
Moderate extent	3	19 45	26 58	12 33	26 52	31 <b>47</b>	24 54
Some, or little or no extent No basis to judge	27 <b>6</b> 5	33	2	50	14	18	11
<u> </u>			<del>-</del>				
29.2. Timeliness Great or very great extent	2	8	13	6	6	2	11
Moderate extent	2 5	18	28	6 7	19	25	25
Some, or little or no extent	27	37	53	37	63	56	50
No basis to judge	67	37	6	51	12	17	14
29.3. Usefulness							
Great or very great extent	3	4	14	4	12	10	12
Moderate extent	5	14	25	9	14	25	22
Some, or little or no extent	25	47	59	38	62	48	55
No basis to judge	67	35	3	50	12	17	12

				Field C	ffices		
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Total
IV. Planning	<u> </u>	Other	00/00	- OliA	Office	Outer	- I O(a)
Q.30. Nature of SSA's long-range planning process:*							
A formal process only	38	23	32	13	31	22	30
An informal process only	6	20	14	20	9	20	15
Both a formal and informal process	35	30	36	30	49	31	36
Neither a formal nor informal process	21	27	17	38	11	27	19
Q.31. Narrative comment: name of long-range planning document or	r description	of the p	rocess.	······································			
Q.32. SSA processes and activities that provide the principal guidar				ations:			
Budget process*	36	49	36	19	47	58	37
Implementation of legislation	47	59	66	63	61	71	64
Office of Systems planning process (Systems Modernization Plan)*	92	37	41	21	58	69	44
Annual Automated Data Processing (ADP) plan*	82	28	16	10	16	38	20
Commissioner's objectives/ initiatives*	73	75	72	58	83	79	72
Special projects or initiatives*	65	61	42	22	53	65	44
Merit pay plans*	60	70	75	9	84	67	70
Senior Executive Service (SES) contracts*	48	45	22	9 2	48	54	26
SSA-wide formal planning process	3	7	6	3	3	17	6
Other	3	14	4	16	5	6	6
No process or activity serves this purpose	Ō	0	2	8	Ō	2	2
Q.33. Extent to which SSA-wide planning processes or activities pro	vide a sense	of dire	ction and	quidanc	e regard	lina the	
possible impact of six potential events:				<b>3</b>			
33.1. Improvements in manual processes*							
Great or very great extent	29	17	32	24	42	35	31
Moderate extent	7	13	28	18	19	27	25
Some, or little or no extent	32	48	37	44	34	35	38
Not applicable	32	22	4	14	5	2	7
33.2. Potential legislative changes*							
Great or very great extent	31	34	39	64	41	40	40
Moderate extent	29	19	23	8	20	15	21
Some, or little or no extent	29	43	35	23	38	46	35
Not applicable	11	4	4	5	2	0	4
33.3. Extent of use of options for service delivery*		***************************************					
Great or very great extent	15	16	31	26	53	35	30
Moderate extent	10	17	28	14	25	25	26
Some, or little or no extent	39	56	39	47	22	35	40
Not applicable	36	12	2	13	0	4	5
33.4. Number and types of future staff needs*							
Great or very great extent	57	43	56	56	69	52	55
Moderate extent	5	17	12	14	14	17	12
Some, or little or no extent	34	38	31	26	17	31	31
Not applicable	3	2	1	5	0	0	2
33.5. Technological improvements, including automation							
Great or very great extent	69	52	72	51	81	63	70
Moderate extent	8	28	16	29	14	25	17
Some, or little or no extent	21	19	11	17	5	13	12
Not applicable	2	1	1	3	Ŏ	Ō	1
33.6 Types of work procedures*				V-1			
Great or very great extent	18	27	34	34	34	30	33
Moderate extent	16	20	33	27	38	35	31
Some, or little or no extent	46	43	32	33	27	33	33
Not applicable	21	11	32 2	7	27 2	2	3
- The state of the			·	··········	<b>-</b>		tinued)

	Headqua ystems ight asp 49 43 2 6	Other		OHA ations: 45 27 7 21	Area office  63 26 6	<b>Other</b> 69	Tota
Q.34. Effect that a formal agency-wide, long- range plan would have on e 34.1. Amount of crisis management Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect No opinion  34.2. Staff knowledge about future operations Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect Generally or significant negative effect	49 43 2 6 81 18	47 43 2 7	49 37 4	45 27 7	63 26	69	1
Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect No opinion  34.2. Staff knowledge about future operations Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect	43 2 6 81 18	43 2 7	37 4	27 7	26	69 22	,
Neither positive nor negative effect Generally or significant negative effect No opinion  34.2. Staff knowledge about future operations Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect	43 2 6 81 18	43 2 7	37 4	27 7	26	22	
Generally or significant negative effect No opinion  34.2. Staff knowledge about future operations Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect	2 6 81 18	2 7	4	7			50 36
No opinion  34.2. Staff knowledge about future operations Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect	81 18	7				22 2	2
Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect	18	82			Š	<u>-</u> 6	10
Generally or significant positive effect Neither positive nor negative effect Generally or significant negative effect	18	82					
Generally or significant negative effect	-		84	74	86	90	84
	U	15	12	15	11	8	12
TO OPHIOT	2	1	2 3	3 8	2 2	2	3
34.3. Level of morale							
Generally or significant positive effect	55	58	64	64	69	59	64
Neither positive nor negative effect	42	37	27	23	26	35	28
Generally or significant negative effect	Ō	3	4	5	5	6	4
No opinion	3	2	5	8	Ŏ	Ŏ	4
34.4. Recruiting efforts							
Generally or significant positive effect	44	31	67	59	65	59	63
Neither positive nor negative effect	44	53	26	26	29	31	29
Generally or significant negative effect	0 11	4 12	4 3	1 14	3 3	8 2	4 5
No opinion				14			
34.5. Type of training	G4	60	77	0.4	70	77	76
Generally or significant positive effect Neither positive nor negative effect	64 30	62 34	77 19	84 6	79 19	77 15	76 20
Generally or significant negative effect	0	1	0	1	2	4	-0
No opinion	ŏ	3	4	ġ	2	4	0 5
34.6. Staff allocation level		haur-r					
Generally or significant positive effect	60	55	62	80	69	71	64
Neither positive nor negative effect	35	36	24	7	20	14	24
Generally or significant negative effect	0	3	9	. 2	11	10	8 5
No opinion	5	6	5	11	0	4	5
34.7. Degree to which managers are held accountable for their operations	45	40	50	<b>50</b>	<b>5</b> 4	50	<b>-</b> 7
Generally or significant positive effect	45 52	43 52	58 35	59 28	54 45	59 35	57 37
Neither positive nor negative effect Generally or significant negative effect	0	1	3	20 1	45	2	2
No opinion	3	4	4	13	Õ	4	4
34.8. Relations among organizational units							
Generally or significant positive effect	54	51	49	55	45	55	50
Neither positive nor negative effect	37	46	43	31	49	41	43
Generally or significant negative effect	2	1	0	3	0	2	1
No opinion	8	2	7	12	6	2	7
Q.35. Extent to which current SSA planning processes or activities, such	as merit	pay co	ntracts, S	ES cont	racts, an	d other	
initiatives, affect unit managers in five areas: 35.1. Drive the management of unit operations*							
Great or very great extent	57	60	65	11	72	57	60
Moderate extent	22	21	18	13	15	25	18
Some, or little or no extent	21	19	17	76	12	18	22
35.2. Give managers a sense of where their units are and what they will do							
Great or very great extent	16	31	28	7	45	25	27
Moderate extent	32	28	34	18	20	29	32
Some, or little or no extent	52	41	38	74	35	47	42

			Field Offices				
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Total
35.3. Give managers the opportunity to provide input into SSA's planning	0,000000		50,50				
process							
Great or very great extent	8	16	4	6	14	14	5
Moderate extent	24	26	14	10	34	25	16
Some, or little or no extent	68	58	83	84	52	61	79
35.4. Allow managers to provide the level of input into the planning process							,
that their unit should have	0	40	4	-		40	^
Great or very great extent	8 18	13 16	4 13	7 6	<b>14</b> 31	12 22	6 14
Moderate extent Some, or little or no extent	75	72	83	88	55	65	81
	7.5						
35.5. Increase accountability for accomplishing mission*	04	20	20	•	40	20	200
Great or very great extent	21 30	39 22	38 25	9 17	48 19	39 29	36 33
Moderate extent Some, or little or no extent	49	39	37	74	34	29 24	33 40
Q.36. Extent to which 10 problems have affected managers' ability to	accompiisi	n the mi	SSIONS OT	tneir un	its:		
36.1. Lack of a planning process for the unit Great or very great extent	6	6	4	7	3	10	5
Moderate extent	10	77	8	6	6	10	8
Some, or little or no extent	49	44	48	48	51	55	49
Don't know/not a problem	35	42	40	39	40	25	39
36.2. Unrealistic goals, objectives, or priorities for the unit*							
Great or very great extent	21	10	22	30	12	8	21
Moderate extent	24	16	19	19	12	12	18
Some, or little or no extent	46	55	45	35	51	59	45
Don't know/not a problem	10	19	15	16	25	20	16
36.3. Unclear goals, objectives, or priorities for the unit*							
Great or very great extent	33	14	12	21	11	4	13
Moderate extent	22	17	15	12	8	8	15
Some, or little or no extent	32	52	55	41	57	61	53
Don't know/not a problem	13	17	18	26	25	27	19
36.4. Unit goals and objectives not measurable							
Great or very great extent	19	10	14	15	11	10	14
Moderate extent	13	10	15	7	20	16	14
Some, or little or no extent	46	50	50	48	48	55	49
Don't know/not a problem	22	31	22	30	22	18	23
36.5. Frequent changes in unit goals, objectives, and priorities							
Great or very great extent	29	14	22	20	11	4	21
Moderate extent	18	13	19	16	12	14	18
Some, or little or no extent	37	52 22	45	46	65	65 16	47 15
Don't know/not a problem	18		14	18	12	10	
36.6. Unit goals and objectives not adequately considered in determining staff needs*							
Great or very great extent	48	26	32	43	25	20	32
Moderate extent	24	19	22	14	17	16	21
Some, or little or no extent	22	42	37	24	52	47	37
Don't know/not a problem	6	14	9	19	6	16	10
36.7. Lack of support from other SSA units*							
Great or very great extent	29	20	14	22	.9	14	15
Moderate extent	25	23	16	17	17	14	17
Some, or little or no extent	46	45	52	34	63	53	51
Don't know/not a problem	0	12	18	27	11	18	17
						(cor	itinued)

36. Lack of adequate input to planning process for other SSA units*   Great or very great extent			Field	Offices		
36.8. Lack of adequate input to planning process for other SSA units*	Do/Bo		ОНА	Area office	Other	Total
Great or very great extent	00,00	Outer	VIIA	· OIIICE	Olliel	IVIA
Moderate extent         24         20           Some, or little or no extent         49         39           Don't know/not a problem         8         20           36.9. Allocation of staff resources insufficient to accomplish stated objectives*         3         3           Great or very great extent         25         41         25         41           Don't know/not a problem         3         13         3         13           36.10. Lack of appropriate incentives or penalties to achieve stated objectives         3         13         3         13           36.10. Lack of appropriate incentives or penalties to achieve stated objectives         22         13         3         13         21           Moderate extent         22         13         3         21         3         21         3         21         3         3         21         3         4         50         3         1         16         4         50         3         1         16         4         50         3         21         3         3         21         3         3         21         3         3         21         3         3         21         3         3         21         3         3         21	13	21	17	11	6	13
Some, or little or no extent   29   39   39   20	16	20	15	5 17	14	16
36.9. Allocation of staff resources insufficient to accomplish stated objectives* Great or very great extent Some, or little or no extent Somewhat participate Not participate Somewhat participate Not participate Somewhat participate Not participate Somewhat participate Not participate Some, or little or no extent Some, or little or n	50	39	34	59	63	49
Specitives   Great or very great extent   25   19	22	20	35	5 14	16	22
Moderate extent   25   19					,	
Some, or little or no extent Don't know/not a problem	31		47		20	31
Don't know/not a problem   3   13   36.10. Lack of appropriate incentives or penalties to achieve stated objectives   Great or very great extent   13   21   Moderate extent   22   13   Some, or little or no extent   22   13   Some, or little or no extent   24   50   Don't know/not a problem   11   16      V. Budgeting   C.37. Managers' participation in SSA's budget formulation process for their units.* Participate   44   33   Somewhat participate   37   21   Not participate   44   33   Somewhat participate   44   36   Somewhat participate   46   19   46   46   46   46   47   46   47   47	20				18	20
36.10. Lack of appropriate incentives or penalties to achieve stated objectives Great or very great extent Great or very great extent Some, or little or no extent Somewhat participation in SSA's budget formulation process for their units:* Participate Somewhat participate Not participate Somewhat participate Not participate Somewhat participate Not participate Somewhat participate Somewhat participate Not participate Somewhat participa	39				47	38
objectives         3         21           Great or very great extent         22         13           Some, or little or no extent         54         50           Don't know/not a problem         11         16           V. Budgeting           Q.37. Managers' participation in SSA's budget formulation process for their units:*         Participate         44         33           Somewhat participate         37         21           Not participate         19         46           Q.38. Extent to which 13 problems hinder units' budget formulation process (for those whole)         38.1         4           38.1. Absence of a formal planning process         48         14         8           Great or very great extent         8         14         8           Moderate extent         33         31           Don't know/not a problem         45         47           38.2. Unrealistic goals, objectives, or priorities for the unit         6         12           Great or very great extent         18         10           Moderate extent         15         57           Don't know/not a problem         26         14           Moderate extent         26         14           Moderate extent	10	13	17	9	14	11
Moderate extent         22         13           Some, or little or no extent         54         50           Don't know/not a problem         11         16           V. Budgeting         V. Budgeting           Q.37. Managers' participation in SSA's budget formulation process for their units:*						
Some, or little or no extent Don't know/not a problem 11 16  V. Budgeting  Q.37. Managers' participation in SSA's budget formulation process for their units:* Participate 44 33 Somewhat participate 19 46 Q.38. Extent to which 13 problems hinder units' budget formulation process (for those who 38.1. Absence of a formal planning process Great or very great extent 8 14 8 Moderate extent 8 14 8 Moderate extent 9 45 47  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent 18 10 Moderate extent 19 12 12 12 12 12 12 12 12 12 12 12 12 12	19			22	6	19
Don't know/not a problem	20				20	19
V. Budgeting  Q.37. Managers' participation in SSA's budget formulation process for their units:* Participate 44 33 Somewhat participate 37 21 Not participate 19 46  Q.38. Extent to which 13 problems hinder units' budget formulation process (for those wh 38.1. Absence of a formal planning process Great or very great extent 8 14 Some, or little or no extent 33 31 Don't know/not a problem 45 47  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent 18 10 Moderate extent 19 12 12 Some, or little or no extent 19 12 12 Some, or little or no extent 19 12 12 Some, or little or no extent 19 12 12 Some, or little or no extent 19 12 12 Some, or little or no extent 19 12 12 Some, or little or no extent 19 12 12 Some, or little or no extent 19 12 12 Some, or little or no extent 19 12 10 Some, or little or no extent 19 10 Some or little or no extent 19 10 Som	45 17		35 22	39 2 20	59 14	45 17
Q.37. Managers' participation in SSA's budget formulation process for their units:*         Participate       44       33         Somewhat participate       37       21         Not participate       19       46         Q.38. Extent to which 13 problems hinder units' budget formulation process (for those what is a state of a formal planning process)       45         Great or very great extent       14       8         Moderate extent       8       14         Some, or little or no extent       33       31         Don't know/not a problem       45       47         38.2. Unrealistic goals, objectives, or priorities for the unit       18       10         Moderate extent       12       12       12         Some, or little or no extent       54       57         Don't know/not a problem       16       22         38.3. Unclear goals, objectives or priorities for the unit       26       14         Moderate extent       26       14         Moderate extent       26       14         Moderate extent       26       14         Some, or little or no extent       26       14         Don't know/not a problem       22       24         38.4. Lack of adequate staff to prepare budget submission       39<	17	10		. 20	14	
Participate   34   33   37   21     Not participate   19   46     Q.38. Extent to which 13 problems hinder units' budget formulation process (for those wh 38.1. Absence of a formal planning process Great or very great extent   14   8   8   14     Some, or little or no extent   33   31     Don't know/not a problem   45   47     38.2. Unrealistic goals, objectives, or priorities for the unit   38   10     Moderate extent   18   10     Moderate extent   12   12     Some, or little or no extent   54   57     Don't know/not a problem   16   22     38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives or priorities for the unit   38.3. Unclear goals, objectives, or priorities for the unit   38.3. Unclear goals, objectives, or priorities for the unit   38.3. Unclear goals, objectiv						
Somewhat participate Not participate  Q.38. Extent to which 13 problems hinder units' budget formulation process (for those wh 38.1. Absence of a formal planning process Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent Hoderate extent Great or very great extent Some, or little or no extent Formulation or problem  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent Formulation or problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Formulation or problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Formulation or problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Formulation or problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Formulation or problem  39. 55 Don't know/not a problem  39. 55 Don't know/not a problem  39. 55 Don't know/not a problem  38.5. Lack of sufficient time to prepare budget submission Great or very great extent Formulation problem  38.6. Lack of sufficient time to prepare budget submission Great or very great extent Formulation problem  30. 21  30. 21  30. 21  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 31  30. 47  30. 32  30. 47  30. 32  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30. 47  30.		<b>:*</b>	_			_
Not participate 9 46  Q.38. Extent to which 13 problems hinder units' budget formulation process (for those wh 38.1. Absence of a formal planning process Great or very great extent 14 8 14 8 Moderate extent 8 14 50me, or little or no extent 33 31 1 Don't know/not a problem 45 47  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent 18 10 Moderate extent 12 12 12 Some, or little or no extent 54 57 Don't know/not a problem 16 22  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent 26 14 Moderate extent 27 20 20 20 20 20 20 20 20 20 20 20 20 20	2		2	2 17	39	7
Q.38. Extent to which 13 problems hinder units' budget formulation process (for those what 38.1. Absence of a formal planning process Great or very great extent 14 8 Moderate extent 8 14 Some, or little or no extent 33 31 Don't know/not a problem 45 4738.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent 18 10 Moderate extent 12 12 12 Some, or little or no extent 54 57 Don't know/not a problem 16 2238.3. Unclear goals, objectives or priorities for the unit Great or very great extent 26 14 Moderate extent 27 Some, or little or no extent 26 14 Moderate extent 27 Some, or little or no extent 27 Don't know/not a problem 28 24 38.4. Lack of adequate staff to prepare budget submission Great or very great extent 18 10 Moderate extent 24 10 Some, or little or no extent 29 20 2638.5. Lack of sufficient time to prepare budget submission Great or very great extent 39 55 Don't know/not a problem 20 2638.5. Lack of sufficient time to prepare budget submission Great or very great extent 39 55 Don't know/not a problem 20 26	8		2		33	11
38.1. Absence of a formal planning process Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Some, or little or no extent Great or very great extent Great or very great extent Some, or little or no extent	90		96		29	81
Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  33. 31  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Great or very great extent Moderate goals, objectives or priorities for the unit Great or very great extent Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Moderate extent Some, or little or no extent	ho partici	hose wh	cipate in	ı it):		
Moderate extent Some, or little or no extent Don't know/not a problem  33. 31 Don't know/not a problem  33. 31 Don't know/not a problem  33. 31 Don't know/not a problem  33. 2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  33. Unclear goals, objectives or priorities for the unit Great or very great extent Great or very great extent Some, or little or no extent Don't know/not a problem  33. Unclear goals, objectives or priorities for the unit Great or very great extent Some, or little or no extent Don't know/not a problem  33. Unclear goals, objectives or priorities for the unit Great or very great extent Some, or little or no extent Don't know/not a problem  33. Unclear goals, objectives or priorities for the unit Great or very great extent Some, or little or no extent Some or little or no extent	16	Q	50	7	9	12
Some, or little or no extent Don't know/not a problem  33 31 Don't know/not a problem  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Great or very great extent Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Some, or little or no extent Some, or little or no extent Don't know/not a problem  39 55 Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent Great or very great extent Some, or little or no extent Don't know/not a problem 20 26	8		0		3	9
Don't know/not a problem  38.2. Unrealistic goals, objectives, or priorities for the unit Great or very great extent  Moderate extent Some, or little or no extent Don't know/not a problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Some, or little or no extent Moderate extent Some, or little or no extent	40		50		46	38
Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Great or very great extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Great or very great extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Some, or little or no extent	36		Õ		43	42
Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Great or very great extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Great or very great extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Some, or little or no extent	·					
Moderate extent       12       12         Some, or little or no extent       54       57         Don't know/not a problem       16       22         38.3. Unclear goals, objectives or priorities for the unit       26       14         Great or very great extent       26       14         Moderate extent       12       10         Some, or little or no extent       41       53         Don't know/not a problem       22       24         38.4. Lack of adequate staff to prepare budget submission       18       10         Moderate extent       24       10         Some, or little or no extent       39       55         Don't know/not a problem       20       26         38.5. Lack of sufficient time to prepare budget submission       20       26         38.5. Lack of sufficient time to prepare budget submission       28       20	13	10	33	3	3	11
Don't know/not a problem  38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Moderate extent Some, or little or no extent	Õ		33	10	9	7
38.3. Unclear goals, objectives or priorities for the unit Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  39 55 Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent  28 20	54		33		60	54
Great or very great extent       26       14         Moderate extent       12       10         Some, or little or no extent       41       53         Don't know/not a problem       22       24         38.4. Lack of adequate staff to prepare budget submission       8       10         Great or very great extent       18       10         Moderate extent       24       10         Some, or little or no extent       39       55         Don't know/not a problem       20       26         38.5. Lack of sufficient time to prepare budget submission       20       26         38.5. Lack of sufficient time to prepare budget submission       28       20	33	22	0	42	29	28
Moderate extent 12 10 Some, or little or no extent 41 53 Don't know/not a problem 22 24  38.4. Lack of adequate staff to prepare budget submission Great or very great extent 18 10 Moderate extent 24 10 Some, or little or no extent 39 55 Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent 28 20						_
Some, or little or no extent Don't know/not a problem  38.4. Lack of adequate staff to prepare budget submission Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem  39 55 Don't know/not a problem  38.5. Lack of sufficient time to prepare budget submission Great or very great extent Great or very great extent  28 20	13		0		3	13
Don't know/not a problem 22 24  38.4. Lack of adequate staff to prepare budget submission Great or very great extent 18 10 Moderate extent 24 10 Some, or little or no extent 39 55 Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent 28 20	.0		0		6	5
38.4. Lack of adequate staff to prepare budget submission Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem 39 55 Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent 28 20	46		100		63	49
Great or very great extent  Moderate extent Some, or little or no extent Don't know/not a problem  39 55 20 38.5. Lack of sufficient time to prepare budget submission Great or very great extent  28 20	42		0	42	29	33
Moderate extent 24 10 Some, or little or no extent 39 55 Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent 28 20		40	0			
Some, or little or no extent 39 55 Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent 28 20	8	_	0		9	9
Don't know/not a problem 20 26  38.5. Lack of sufficient time to prepare budget submission Great or very great extent 28 20	4		0		6	9
38.5. Lack of sufficient time to prepare budget submission Great or very great extent 28 20	38 50	26	67 33		60 26	45 38
Great or very great extent 28 20						
Moderate extent	0	20	0	0	11	10
INDURE CALCIU	ŏ	22	ŏ		6	11
Some, or little or no extent 37 41	42		5Ŏ		63	44
Don't know/not a problem 8 18	58		50		20	35

Appendix I Results of Mid-Level Managers' Questionnaire Survey

			Field C	ffices		
		Do/Bo	ОНА	Area office	Other	Total
			***************************************			
26	26	25	33	10	11	22
18		.8	0	23	14	13
		29				39
22	16	38	0	16	23	26
						,
14	14	21	0	0	3	13
24	10	4	0	13	11	11
47		33		52	63	46
16	22	42	33	36	23	30
	***************************************					
16	8	21	50	0	3	13
18	12	8	50	16	6	12
44	55	38	0	52	66	47
22	26	33	0	32	26	29
	~					<del></del>
8	4	8	0	3	12	7
2		13	67	10	9	10
35	49	50	33	52	59	48
55	39	29	0	36	21	35
			****			
10	8	8	0	7	6	8
			33			14
						52
20	26	29	67	32	20	26
45	39	25	67	26	31	33
22	18	21	0	23	14	20
24	28	33	33	39		33
10	16	21	0	13	9	15
24	12	17	33	0	9	14
20	18	4	0	29	26	15
49	61	54	67			55
8	10	25	0	10	11	15
~						
6	10	13	33	10	6	10
12	6	Ō	33	13	17	7
55	61	46	33	52	57	52
28	24	42	0	26	20	31
ınit:						
3	7	2	5	3	4	3
10		35		41		34
87	61	63	65	56	61	64
is system:	*					
	31	8	5	12	25	10
11	ان	U	J	1 4		
11 68	59	86	80	85	63	82 8
	26 18 35 22 14 24 47 16 16 18 44 22 8 2 35 55 10 24 47 20 45 22 24 10 24 20 49 8 8 6 12 55 28 unit: 3 10 87	18 12 35 47 22 16  14 14 24 10 47 55 16 22  16 8 18 12 44 55 22 26  8 4 2 8 35 49 55 39  10 8 24 16 47 51 20 26  45 39 22 18 24 28 10 16  24 12 20 18 49 61 8 10  6 10 12 6 55 61 28 24 24 28 10  16 55 61 28 24 24 24 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 24 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 61 28 25 6	Systems         Other         Do/Bo           26         26         25           18         12         8           35         47         29           22         16         38           14         14         21           24         10         4           47         55         33           16         22         42           16         8         21           18         12         8           44         55         38           22         26         33           8         4         8           22         26         33           8         4         8           22         26         33           8         4         8           22         26         33           8         4         8           22         28         13           35         49         50           55         39         29           45         39         25           22         18         21           24         28         33     <	Headquarters   Systems   Other   Do/Bo   OHA	Systems         Other         Do/Bo         OHA         office           26         26         25         33         10           18         12         8         0         23           35         47         29         67         52           22         16         38         0         16           14         14         21         0         0           24         10         4         0         13           47         55         33         67         52           16         22         42         33         36           16         8         21         50         0           18         12         8         50         16           44         55         38         0         52           22         26         33         0         32           8         4         8         0         3           2         8         13         67         10           35         49         50         33         52           55         39         29         0         36           10 </td <td>  Headquarters   Systems   Other   Do/Bo   OHA   Office   Other    </td>	Headquarters   Systems   Other   Do/Bo   OHA   Office   Other

				Field C	offices		
	Headqu Svstems		Do/Bo	ОНА	Area office	Other	Tota
Q.41. Narrative comment: list of reports or other products received f							
Q.42. Importance of cost analysis information to unit work (for those			,				
Generally or very important	43	38	52	20	75	50	49
Neither important nor unimportant	14	28	24	20	25	17	24
Generally or very unimportant	43	35	24	60	Ó	33	27
Q.43. Usefulness of information received from cost analysis system	(for those th	at recei	ve it):		***************************************	,	
Useful	14	10	29	0	63	46	27
Somewhat useful	57	62	43	40	38	18	45
Not useful	29	28	29	60	0	36	29
<b>Q.44. Extent to which cost analysis information has five attributes (f</b> eat.1. Accuracy	or those that		-				
Great or very great extent	17	32	38	40	75	50	39
Moderate extent	50	25	19	0	13	30	21
Some, or little or no extent	33	43	43	60	13	20	40
44.2. Timeliness				_			
Great or very great extent	14	21	19	0	25	27	19
Moderate extent	71	21	24	0	38	46	27
Some, or little or no extent	14	59	57	100	38	27	54
44.3. Relevance		00	00	00		07	00
Great or very great extent	14	28	29	20	50	27	29 25
Moderate extent Some, or little or no extent	43 43	17 55	24 48	20 60	38 13	36 36	47
***************************************	40		40		13		
44.4. Completeness	4.4	00	20	0	20	20	20
Great or very great extent  Moderate extent	14 43	26 26	33 24	0 40	38 25	30 40	30 27
Some, or little or no extent	43	48	43	60	38	30	43
14.5. Proper format							
Great or very great extent	29	21	33	40	50	30	32
Moderate extent	29	35	19	20	13	40	24
Some, or little or no extent	43	45	48	40	38	30	45
Q.45. Extent to which information from SSA accounting system used	for two pure	oses:					
45.1. To develop supporting data for budget requests							
Great or very great extent	11	11	2	6	22	32	5
Moderate extent	13	14	6	1	24	11	7
Some, or little or no extent	76	76	92	93	53	57	88
45.2. To compute the costs and benefits of alternative strategies for							
meeting goals and objectives	_			0		07	
Great or very great extent	5	6 13	2 7	6 7	11 20	27 18	4
Moderate extent Some, or little or no extent	10 86	81	91	88	69	55	8 88
Q.46. Extent to which SSA process used to allocate staff is an effect							
46.1. To allocate staff resources to SSA units*				02,000			
Great or very great extent	5	9	18	16	16	18	17
Moderate extent	19	15	31	16	38	41	29
Some, or little or no extent	44	56	43	32	42	36	43
Don't know	32	20	8	36	4	5	12
46.2. To address priorities for your unit	^	^	_	40	40	^	
Great or very great extent	2	2	9	16	13	9	19
Moderate extent Some, or little or no extent	18 60	10 72	18 66	13 <b>44</b>	27 58	30 57	18 64
Don't know	21	16	7	27	2	5	10
	<u></u>						itinued)

			Field Offices					
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Total	
46.3. To establish accountability for the expenditure of funds								
Great or very great extent	3	7	11	9	13	9	10	
Moderate extent	11	_7	14	8	24	23	14	
Some, or little or no extent	48	52	46	37	58	55	46	
Don't know	37	33	30	47	4	14	30	
46.4. To fund activities in line with stated goals and objectives	c	40	c	10	16	16	, o	
Great or very great extent  Moderate extent	6 13	10 12	6 16	12 12	16 20	16 28	8 16	
Some, or little or no extent	51	55	48	34	60	47	48	
Don't know	30	23	30	42	4	9	29	
VI. Work-Force Planning/Staffing								
Q.47. Extent to which 10 personnel-related problems have hinde	red achievemen	t of ope	rational g	oals and	d objectiv	/es:		
47.1. Inadequate staff recruitment	32	27	25	39	38	33	35	
Great or very great extent  Moderate extent	32 27	37 22	35 20	39 17	30 13	33 7	35 19	
Some, or little or no extent	29	28	36	29	44	54	36	
Don't know/not a problem	13	13	10	17	4	7	10	
47.2. Inadequate training								
Great or very great extent	_8_	4	. 8	23	0	5	. 8	
Moderate extent	25	13	15	16	13	2	15	
Some, or little or no extent	59 8	68 15	61 16	46 15	73 13	75 18	61 16	
Don't know/not a problem		15	10	15	13	10		
47.3. Inadequate performance appraisal system* Great or very great extent	14	19	13	24	11	7	14	
Moderate extent	33	10	18	11	20	16	18	
Some, or little or no extent	46	61	56	46	56	71	56	
Don't know/not a problem	6	11	12	20	13	7	12	
47.4. Inadequate reward and discipline system*								
Great or very great extent	27	20	28	30	24	7	27	
Moderate extent	27 37	12 61	22 40	21	13 53	5 <b>80</b>	21 42	
Some, or little or no extent Don't know/not a problem	10	7	10	28 21	ეა 9	9	10	
47.5. High SSA employee turnover rate*								
Great or very great extent	11	4	16	22	18	21	15	
Moderate extent	13	14	12	12	20	9	12	
Some, or little or no extent	59	58	51	38	51	64	51	
Don't know/not a problem	18	24	22	28	11	7	21	
47.6. Inadequate staff experience/knowledge			_		_	_		
Great or very great extent	22	12	. 9	17	7	2	10	
Moderate extent Some, or little or no extent	10 57	13 62	13 63	17 <b>4</b> 7	18 62	14 70	14 62	
Don't know/not a problem	11	14	15	20	13	14	15	
47.7. Poor staff allocation process*	AMMIN, g. g.,		***************************************					
Great or very great extent	38	19	21	30	9	_9	22	
Moderate extent	19	15	16	9	23	21	16	
Some, or little or no extent Don't know/not a problem	32 11	52 15	49 13	43 18	64 5	64 7	49 13	
	1 1		1.3	18	~		1.≺	

		-		Field C	ffices		
	Headqua Systems		Do/Bo	ОНА	Area office	Other	Total
47.8. Problems associated with overtime policy	- Cyolellio	- Caro	50,50	OIIA .	011100		10(0)
Great or very great extent	5	3	5	9	2	2	15
Moderate extent	5 2	4	_9	9 7	2 4	2 5	8
Some, or little or no extent	78	63	61	53	67	71	62
Don't know/not a problem	16	30	25	32	27	23	25
47.9. Union contract requirements*	-	,	40	04	00	40	
Great or very great extent Moderate extent	5 2	7 13	12 13	21 14	20 13	18 18	12 12
Some, or little or no extent	70	56	48	42	60	57	50
Don't know/not a problem	24	24	28	23	7	7	26
47.10. Unclear performance standards or expectations	***************************************						
Great or very great extent	11	6	11	21	2	0	10
Moderate extent	15	10	13	17	16	5	13
Some, or little or no extent	58	67	60	39	62	82	59
Don't know/not a problem	16	17	17	23	20	14	17
Q.48. Managers' reactions to eight statements about poor or nonperfo 48.1. Sufficient authority exists to take an adverse action on an employee	rmers:						
who is a poor/nonperformer							
Somewhat or strongly agree	67	61	60	38	79	82	60
Neither agree nor disagree	11	7	5	6	3	2	6
Somewhat or strongly disagree Not applicable	21 2	30 2	35 0	56 1	19 0	16 0	34 0
48.2. It is not worth the time and effort to take an adverse action against a	<u></u>			·····			
poor/nonperformer							
Somewhat or strongly agree	48	53	45	4 <u>6</u>	20	20	44
Neither agree nor disagree	6 44	3	7 49	7 46	5 75	4 76	6 50
Somewhat or strongly disagree Not applicable	2	42 2	0	1	75	0	0
48.3. Upper level management will support acting on poor/nonperformers*							
Somewhat or strongly agree	66	57	67	48	79	90	66
Neither agree nor disagree	16	14	9	16	6	Ğ	10
Somewhat or strongly disagree	18	27	24	36	15	4	24
Not applicable	0	2	0	1	0	0	0
48.4. Union contract requirements hinder acting on employees who have							
performance problems*							
Somewhat or strongly agree	43	52	44	57	62	51	46
Neither agree nor disagree	27 29	20 24	22 23	20	8	16 33	21 24
Somewhat or strongly disagree Not applicable	29	4	23 11	17 6	29 2	.0	9
***************************************							
48.5. Civil service procedures hinder acting on employees who have performance problems*							
Somewhat or strongly agree	44	53	55	67	48	45	55
Neither agree nor disagree	24	20	17	18	20	10	18
Somewhat or strongly disagree	32	24	27	14	29	45	27
Not applicable	0	3	1	1	3	0	1
48.6. Sufficient support is available to managers on technical personnel questions related to handling poor performers							
Somewhat or strongly agree	73	66	71	73	89	90	72
Neither agree nor disagree	3	10	9	10	2	0	8
Somewhat or strongly disagree	24	22 2	20	17	9	10	19
Not applicable	0	7	0	1	0	0	0

	_		Field Offices					
	eadqua stems		Do/Bo	ОНА	Area office	Other	Total	
48.7. The lack of quantitative or qualitative criteria in performance								
standards hinders efforts to act on employees with performance problems*								
Somewhat or strongly agree	57	41	52	51	49	43	51	
Neither agree nor disagree	22	15	18	21	12	12	18	
Somewhat or strongly disagree	18	39	29	24	39	45	30	
Not applicable	3	5	1	5	0	0	1	
48.8. Current performance measures are useful in identifying employees								
with performance problems*	32	53	EO	40	77	84	EC	
Somewhat or strongly agree Neither agree nor disagree	21	11	59 12	48 18	77 11	14	58 12	
Somewhat or strongly disagree	46	34	30	33	12	2	29	
Not applicable	2	3	0	1	ō	ō	-	
Q.49. Satisfaction with opportunities available to provide input into establish	ehina ı	nit etaf	fina requi	rements	.*			
Generally or very satisfied	29	33	32	32	34	57	33	
Neither satisfied nor dissatisfied	13	16	24	19	26	16	22	
Generally or very dissatisfied	58	51	44	50	40	27	45	
Q.50. Confidence about possessing the information to project the skills ne	eded f	or unit e	mplovee	s over th	ne next 3	to 5		
years:			р.о, оо					
Great or very great confidence	57	38	33	43	40	22	35	
Moderate confidence	26	32	38	34	34	37	37	
Some, or little or no confidence	18	30	28	23	26	41	28	
Q.51. Whether unit filled a vacancy in last 2 years:								
Yes	95	86	93	96	100	98	94	
No.	5	13	7	4	0	2	7	
Don't know	0	1	0	0	0	0	0	
Q.52. How quickly vacant unit positions were filled:								
52.1. Managerial/professional staff*	10	20	24	27	60	50	20	
Somewhat or very quickly	12 9	36 10	34 10	37 13	62 9	52 17	36 <b>1</b> 1	
Neither quickly nor slowly Somewhat or very slowly	80	41	30	32	29	27	33	
Not applicable	0	13	25	18	ŽÕ	4	21	
52.2. Clerical/support staff*								
Somewhat or very quickly	15	22	44	35	48	60	42	
Neither quickly nor slowly	17	15	12	22	12	6	13	
Somewhat or very slowly	61	58	40	40	39	33	42	
Not applicable	7	5	3	3	2	0	3	
Q.53. How long it took to fill a vacant unit position:								
53.1. Managerial/professional staff*								
Less than 1 to less than 3 months	19	48	55	40	87	77	54	
3 to less than 5 months	27	28	15	22	12	13	16	
5 to 7 months or more		11	6	17	2 0	6	9	
	54					4	21	
Not applicable	0	14	25	21				
Not applicable 53.2. Clerical/support staff	0	14	25			·		
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months	0 37	14 42	25 72	59	83	83		
Not applicable 53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months	0 37 27	14 42 33	25 72 15	59 25	83 9	83 10	17	
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months 5 to 7 months or more	37 27 29	14 42 33 19	25 72 15 10	59 25 14	83 9 6	83 10 6	69 17 11	
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months 5 to 7 months or more Not applicable	0 37 27	14 42 33	25 72 15	59 25	83 9	83 10	17	
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months 5 to 7 months or more Not applicable  Q.54. Extent to which 12 problems have hindered ability to fill a vacancy:	37 27 29	14 42 33 19	25 72 15 10	59 25 14	83 9 6	83 10 6	17 11	
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months 5 to 7 months or more Not applicable  Q.54. Extent to which 12 problems have hindered ability to fill a vacancy: 54.1. Inadequate recruiting strategy/plan	37 27 29 7	42 33 19 6	72 15 10 4	59 25 14 3	83 9 6 2	83 10 6 0	17 11 4	
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months 5 to 7 months or more Not applicable  Q.54. Extent to which 12 problems have hindered ability to fill a vacancy: 54.1. Inadequate recruiting strategy/plan Great or very great extent	0 37 27 29 7	14 42 33 19 6	25 72 15 10 4	59 25 14 3	83 9 6 2	83 10 6 0	17 11 4	
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months 5 to 7 months or more Not applicable  Q.54. Extent to which 12 problems have hindered ability to fill a vacancy: 54.1. Inadequate recruiting strategy/plan Great or very great extent Moderate extent	0 37 27 29 7	14 42 33 19 6	25 72 15 10 4	59 25 14 3	83 9 6 2	83 10 6 0	17 11 4 	
Not applicable  53.2. Clerical/support staff Less than 1 to less than 3 months 3 to less than 5 months 5 to 7 months or more Not applicable  Q.54. Extent to which 12 problems have hindered ability to fill a vacancy: 54.1. Inadequate recruiting strategy/plan Great or very great extent	0 37 27 29 7	14 42 33 19 6	25 72 15 10 4	59 25 14 3	83 9 6 2	83 10 6 0	17 11 4	

				Field C	Affices		
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Total
54.2. Limited pool of applicants Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	27	35	43	39	45	23	41
	22	19	16	15	15	8	16
	38	33	30	27	32	48	31
	13	14	11	19	8	21	12
54.3. Noncompetitive starting salary* Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	30	9	30	30	34	29	29
	13	10	14	9	17	19	14
	32	34	35	40	38	33	35
	25	48	21	22	11	19	23
54.4. Inappropriate classification standards Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	13	9	3	12	6	13	5
	2	6	3	16	9	6	4
	53	37	46	37	52	56	45
	32	48	49	35	32	25	45
54.5. Poor image of federal employees* Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	23	7	20	12	26	21	19
	12	9	14	8	15	10	13
	42	40	43	39	49	46	43
	23	44	23	41	9	23	25
54.6. Competition from other federal employers* Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	2	9	14	9	28	19	14
	15	7	11	19	12	23	12
	53	44	50	47	55	40	50
	30	40	25	25	5	19	25
54.7. Cumbersome SSA and HHS personnel procedures* Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	33	12	14	25	8	8	15
	18	11	12	16	12	10	13
	32	54	52	42	68	60	51
	17	22	23	18	12	21	22
54.8. SSA and HHS personnel staff not helpful Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	5	5	4	10	5	2	5
	10	3	3	9	3	7	4
	48	51	46	45	59	52	47
	37	42	46	36	34	40	44
54.9. Cumbersome OPM rules and procedures* Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	17	10	21	19	29	15	20
	15	7	9	13	17	13	10
	37	40	48	37	37	56	46
	32	43	23	31	17	17	24
54.10. Limited promotion potential for nonmanagement positions* Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	25	11	10	28	9	15	12
	18	12	12	16	12	17	13
	32	48	51	36	65	55	50
	25	28	27	20	14	13	26
54.11. Budget constraints Great or very great extent Moderate extent Some, or little or no extent Don't know/not a problem	50	53	40	49	34	42	42
	15	11	14	18	22	17	14
	23	24	25	16	36	33	25
	12	13	21	18	8	8	19

				Field 0	ffices		
	Headqua Systems		Do/Bo	ОНА	Area office	Other	Total
E4 10 Files at DAOF	Systems	Other	D0/B0	Una	onice	Other	TOTAL
54.12. Elimination of PACE exam	2	o	20	4	25	10	22
Great or very great extent  Moderate extent	3 2	8 4	28 9	4 3	25 12	13	23 8
Some, or little or no extent	32	28	3Ŏ	24	46	44	30
Don't know/not a problem	63	61	34	69	17	33	38
Q.55. Position description accuracy in reflecting major duties and res							
Accurately	45	58	62	51	83	72	61
Somewhat accurately	50	34	36	35 14	14	28	35
Not accurately	5	8	2	14	3	0	
Q.56. Change in staff resources from fiscal year 1984 to 1985:*	0.4	~			-	0	4.4
Gained staff Staff remained the same	24	7	11	11	7	2 7	11 23
Lost staff	8 68	14 79	26 63	29 60	5 <b>89</b>	91	23 66
						<u>J</u> I	
Q.57. Effect of staff loss on ability of unit to produce quality work:  Somewhat or significant positive effect	5	9	4	11	8	3	5
Neither positive nor negative effect	14	29	22	33	36	35	24
Somewhat or significant negative effect	81	61	74	56	56	63	71
Q.58. Narrative comment: description of effect of staff loss.						**************************************	
Q.59. Potential effect on unit's ability to provide quality work if fiscal 1	986 staff c	uts equ	al those o	f 1985:			
Somewhat or much better	2	3	0	0	0	0	0
Neither better nor worse	12	12	2	11	15	10	_5
Somewhat or much worse	86	85	98	89	85	90	95
VIII. Tanining and days for many							
VII. Training and development							
Q.60. Extent to which 11 listed statements describe the training and d	evelopmen	t in SSA	and the	unit:		111111111111111111111111111111111111111	·····
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for	evelopmen	t in SSA	and the	unit:		***************************************	·····
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors					40	20	24
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent	41	51	19	24	40 47	39 46	24
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent	41 37	51 25	19 34	24 33	47	46	34
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent	41	51	19	24			24 34 40 1
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know	41 37 21	51 25 23	19 34 46	24 33 30	47 13	46 16	34 <b>4</b> 0
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for	41 37 21	51 25 23	19 34 46	24 33 30	47 13	46 16	34 <b>4</b> 0
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff	41 37 21 2	51 25 23 1	19 34 46 0	24 33 30 13	47 13	46 16 0	34 40 1
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for	41 37 21	51 25 23	19 34 46	24 33 30	47 13 0	46 16	34 40 1 48
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent  Some, or little or no extent	41 37 21 2	51 25 23 1	19 34 46 0	24 33 30 13 26 28 32	47 13 0	46 16 0 57 30 11	34 40 1 48 28 19
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent  Moderate extent	41 37 21 2 51 29	51 25 23 1	19 34 46 0	24 33 30 13 26 28	47 13 0 60 27	46 16 0 57 30	34 40 1 48 28 19
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for Don't know	41 37 21 2 2 51 29 18	51 25 23 1 37 36 26	19 34 46 0 49 27 18	24 33 30 13 26 28 32	47 13 0 60 27 11	46 16 0 57 30 11	34 40 1 48 28 19
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff	41 37 21 2 51 29 18 3	51 25 23 1 37 36 26	19 34 46 0 49 27 18	24 33 30 13 26 28 32 14	47 13 0 60 27 11	46 16 0 57 30 11	34 40 1 48 28 19
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent  Great or very great extent	41 37 21 2 51 29 18 3	51 25 23 1 37 36 26 1	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14	47 13 0 60 27 11 2	46 16 0 57 30 11 2	34 40 1 48 28 19 6
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Moderate extent Some or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent	41 37 21 2 51 29 18 3	51 25 23 1 37 36 26 1	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14	47 13 0 60 27 11 2	46 16 0 57 30 11 2	34 40 1 48 28 19 6
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Some, or little or no extent	41 37 21 2 51 29 18 3 37 39 21	51 25 23 1 37 36 26 1 23 47 29	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14	47 13 0 60 27 11 2 7 27 66	46 16 0 57 30 11 2	34 40 1 48 28 19 6
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Some, or little or no extent Don't know	41 37 21 2 51 29 18 3 3 37 39 21 3	51 25 23 1 37 36 26 1	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14	47 13 0 60 27 11 2	46 16 0 57 30 11 2	34 40 1 48 28 19 6
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.2. SSA agency-developed training courses meet employee development	41 37 21 2 51 29 18 3 3 37 39 21 3	51 25 23 1 37 36 26 1 23 47 29	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14	47 13 0 60 27 11 2 7 27 66	46 16 0 57 30 11 2	34 40 1 48 28 19 6
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.2. SSA agency-developed training courses meet employee development needs	41 37 21 2 51 29 18 3 3 37 39 21 3	51 25 23 1 37 36 26 1 23 47 29 1	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14	47 13 0 60 27 11 2 7 27 66 0	46 16 0 57 30 11 2 14 48 36 2	34 40 1 48 28 19 6
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Moderate extent Some, or little or no extent Don't know  60.2. SSA agency-developed training courses meet employee development needs  Great or very great extent	41 37 21 2 51 29 18 3 37 39 21 3	51 25 23 1 37 36 26 1 23 47 29 1	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14 15 22 51 12	47 13 0 60 27 11 2 7 27 66 0	57 30 11 2 14 48 36 2	34 40 1 48 28 19 6 11 23 64 3
Q.60. Extent to which 11 listed statements describe the training and d 60.1.1. SSA has an organized and comprehensive training program for managers/supervisors  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.2. SSA has an organized and comprehensive training program for professional/technical staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.1.3. SSA has an organized and comprehensive training program for administrative or clerical/support staff  Great or very great extent Moderate extent Some, or little or no extent Don't know  60.2. SSA agency-developed training courses meet employee development needs	41 37 21 2 51 29 18 3 3 37 39 21 3	51 25 23 1 37 36 26 1 23 47 29 1	19 34 46 0 49 27 18 6	24 33 30 13 26 28 32 14	47 13 0 60 27 11 2 7 27 66 0	46 16 0 57 30 11 2 14 48 36 2	34 40 1 48 28 19 6

				Field 0	ffices		
	Headqua Systems		Do/Bo	ОНА	Area office	Other	Total
60.3. SSA agency-developed training courses address critical program	Oyatoma	Other	00/00	UIIA	Office	Outer	1000
needs							1
Great or very great extent	35	22	27	25 29	53	34	28
Moderate extent Some, or little or no extent	22 19	27 40	35	32	29	48 16	34 34
Don't know	24	11	36 2	32 15	18 0	16 2	4
60.4. A commitment to staff training and development exists in the unit							
Great or very great extent	79	56	72	50	76	66	70
Moderate extent	11	21	23	26	22	25	22
Some, or little or no extent	10	22	5	22	2	9	8
Don't know	0	0	0	2	0	0	0
60.5. Unit-developed training courses meet employee development needs	4.4	00	40		40	50	40
Great or very great extent	41 25	22	43	44 32	42	50	42
Moderate extent Some, or little or no extent	25 30	31 37	38 19	32 22	38 18	34 16	36 21
Don't know	5	10	0	2	2	0	1
60.6. Unit-developed training courses address critical program needs							
Great or very great extent	36	28	65	50	62	52	60
Moderate extent	21	27	24	27	24	32	25
Some, or little or no extent	34	39	10	20	11	14	14
Don't know	10	7	0	3	2	2	1
60.7. The national training office helps in assessing and meeting the raining needs of my unit							
Great or very great extent	21	9	7	12	11	14	9
Moderate extent	14	12	14	18	20	18	15
Some, or little or no extent	46	68	69	48	<b>6</b> 2	59	66
Don't know	19	12	10	22	7	9	11
60.8. The unit staff responsible for training is helpful in assessing and					2111111111		
neeting the training needs of my unit							
Great or very great extent	37	22	43	43	36	43	41
Moderate extent Some, or little or no extent	18	23 50	23 30	26 23	36 27	36 18	24 31
Don't know	39 7	50	4	23 9	2	2	4
0.9. Training funds are available in my unit						٤.	
Great or very great extent	19	26	7	13	13	19	10
Moderate extent	38	36	18	12	49	47	22
Some, or little or no extent	40	38	68	59	38	30	61
Don't know	3	1	8	16	0	5	7
2.61. Extent to which SSA's internal training and development program	ns have im	proved	the perfo	rmance	of unit		
employees:							
S1.1. Management/supervisory staff	8	15	16	22	34	22	18
Great or very great extent  Moderate extent	56	15 36	16 36	33 31	3 <del>4</del> 39	33 45	37
Some, or little or no extent	37	44	46	31	28	45 20	43
Don't know	Ö	5	2	5	ő	2	43 2
1.2. Professional/technical staff							
Great or very great extent	43	13	29	33	43	43	30
Moderate extent	37	40	40	32	40	41	40
Some, or little or no extent	21	44	27	31	17	14	27
Don't know	0	3	3	5	0	2	3

			***************************************	Field C	ffices		
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Total
61.3. Administrative or clerical/ support staff	- Oyatema	Other	50,50	Ona	Onice	Outer	IUlai
Great or very great extent	13	10	8	20	14	8	9
Moderate extent	54	42	26	27	34	49	29
Some, or little or no extent	30	46	64	48	52	35	29 59
Don't know	3	3	2	5	0	8	2
Q.62. Satisfaction with efforts to identify and develop candidates for positions:*	first-line su	perviso	r and mid	dle man	agement		/
Generally or very satisfied	29	40	40	36 42	59	69	41
Neither satisfied or dissatisfied	40	36	27		14	20	29
Generally or very dissatisfied	32	23	33	23	28	10	30
Q.63. Narrative comment: reasons for dissatisfaction in Q.62.							
Q.64. Voluntary demotions of supervisors in unit during past year							
Yes	18	18	15	9	65	29	17
No	79	80	85	91	35	69	82
Don't know	3	2	- 0	0	0	2	1
Q.65. Number of supervisors that took voluntary demotions during the	e last year (	data rep	oresents t	he numi	ber of		
managers that experienced the supervisor demotions listed):  Number of demotions:							
1	6	4	29	7	17	4	67
2	3	6	7	3	9	3	31
3	1	1	3	•	4	3	12
4	•	1	•	•	6	•	7
5	•	1	•	•	1	:	2 3 2
6 8	•	•	•	•	2 1	1	3
10	•	_	•		1	1	2
14		1					1
30	•	•	•	•	•	1	i
Total	10	15	39	10	41	13	128
Percent of total	8	12	31	8	32	10	100
Q.66.Effect of voluntary demotions on unit:						***************************************	
Generally or significant positive effect	50	71	33	20	48	50	39
Neither positive nor negative effect	40	29	36	70	31	43	37
Generally or significant negative effect	10	0	31	10	21	7	25
Q.67. Narrative comment: description of negative effect of voluntary	demotions i	n Q.66.					
VIII. Performance management							
Q.68. Existence of performance standards in six areas and whether t 68.1. Existence of performance standards for	hey are spe	cific, nu	meric:	***************************************			
Efficiency	54	61	66	79	79	69	66
Timeliness	84	89	97	91	99	94	95
Quality	70	93	96	<b>8</b> 1	100	96	94
Quantity	52	74	79	94	77	80	79
Backlog	14	37	46	56	48	45	45
Courtesy to the public	8	37	84	78	94	60	77
						(cor	tinued)
						,551	

				Field 0	ffices		
	Headqu Systems		Do/Bo	OHA	Area office	Other	Total
68.2. Existence of specific numeric performance standards in six areas (for	- Oysteilis	Other	50,50	O I IA	Onice	Oulei	, ota
those units having performance standards):							+
Efficiency	18	36	27	58	20	6	29
Timeliness	59	70	58	73	67	55	60
Quality	19	47	55	52	66	57	54
Quantity*	28	71	45	93	50	59	51
Backlog	56	82	36	72	32	35	42
Courtesy to public	20	27	13	24	13	3	14
Q.69. Bases of unit performance standards:							
Time studies*	3	19	36	32	28	29	13
Historic estimate based on past performance*	35	60	48	51	59	65	49
Generic Job Tasks (GJTs)	84	93	90	80	80	90	89
Q.70. Extent to which unit employees participate in setting their perfo	rmance sta	ndards:					
Great or very great extent	21	12	6	4	8	21	7
Moderate extent	29	28	9	7	20	23	12
Some, or little or no extent	48	54	77	78	72	52	73
Not applicable	3	5	8	12	0	4	8
Q.71. Existence of seven performance measures and their helpfulnes	s:						
71.1. Existence of the measures							
Efficiency	41	49	52	67	66	48	53
Quality	62	82	97	69	100	94	93
Timeliness	79	85	98	88	98	96	96
Unit cost*	5	12	4	. 8	14	20	_6
Quantity/volume	40	61	82	88	81	76 50	79
Backlog Courtesy to public	16 11	39 31	50 63	58 55	52 67	52 44	49 58
·		ان				<del></del>	
71.2. Helpfulness of units' performance measures (for those units having							
them) in seven areas:							
71.2.1. Efficiency Helpful	24	43	62	49	43	36	56
Somewhat helpful	60	50	29	40	50	55	34
Not helpful	16	7	9	11	5	5	9
Don't know	ŏ	Ó	ŏ	Ö	3	5 5	ŏ
**************************************							
71.2.2. Quality* Helpful	32	58	64	50	63	59	62
Somewhat helpful	63	38	27	35	38	35	30
Not helpful	5	4	9	15	Õ	7	9
		· ·					
71.2.3. Timeliness	54	60	75	61	78	65	73
Helpful Somewhat helpful	42	68 27	75 22	30	22	35	24
Not helpful	4	5	3	9	0	0	3
<del></del>							
71.2.4. Unit cost	C7	20	00	4.4	E.C.	00	00
Helpful Somewhat helpful	67 33	30 50	30 40	14 29	56	22 44	32 39
Not helpful	0	10	20	43	22 22	22	21
Don't know	ŏ	10	10	14	0	11	21 9
				1-1			
71.2.5. Quantity/volume	22	75	67	E A	64	63	GE.
Helpful Somewhat helpful	33 42	75 22	67 28	54 29	64 35	35	65 29
Not helpful	25	4	∠6 4	17	35 2	3	29 6
Don't know	23	Õ	1	΄ό	Õ	ő	1
SOUTH THE PARTY						(con	

•		_		Field O	ffices		
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Total
71.2.6. Backlog	3/3(011)3	Oulei	50/50	UIIA	Office	Other	10(a
Helpful	43	75	59	65	64	61	61
Somewhat helpful	43	17	34	23	33	39	33
Not helpful	14	8	5	13	3	ő	5
Don't know	Ö	ŏ	2	Ö	ŏ	ŏ	ĭ
71.2.7. Courtesy to public							
Helpful	17	50	63	52	51	47	60
Somewhat helpful	67	39	26	26	44	47	28
Not helpful	17	7	9	16	2	Ö	
Don't know	0	4	3	7	$\bar{2}$	6	Š
Q.72. Extent to which the units' organizational performance measur	es affect six	conditio	ns:				
72.1. Help managers manage*							
Great or very great extent	10	43	48	32	66	53	46
Moderate extent	43	29	37	35	28	37	36
Some, or little or no extent	47	28	15	34	6	10	17
72.2. Help managers' subordinates manage*			•				
Great or very great extent	19	46	47	36	64	53	46
Moderate extent	40	29	36	38	30	35	36
Some, or little or no extent	41	25	17	25	6	12	18
72.3. Provide management information*							
Great or very great extent	10	36	65	42	71	51	59
Moderate extent	26	27	25	31	20	39	26
Some, or little or no extent	64	37	10	27	9	10	15
72.4. Increase the paperwork*							
Great or very great extent	41	37	51	60	43	46	50
Moderate extent	26	26	30	23	28	31	29
Some, or little or no extent	33	37	19	17	29	23	21
72.5. Help to attain unit goals*							
Great or very great extent	7	42	54	27	62	51	50
Moderate extent	55	34	32	40	32	35	34
Some, or little or no extent	38	25	14	33	6	14	16
72.6. Disrupt work							
Great or very great extent	9	7	7	17	3	6	7
Moderate extent	17	14	20	28	5	10	19
Some, or little or no extent	74	80	73	55	92	83	74
Q.73. Extent to which existing performance measures facilitate the							
Great or very great extent	. 8	35	39	36	51	43	38
Moderate extent	29	31	32	31	32	41	32
Some, or little or no extent	54	32	29	31	17	14	30
Don't know	10	2	0	3	0	2	1
Q.74. Use of performance measures to periodically update unit perf						00	
Yes	32	66	54	52	79	69	55
No	59	31	33	22	20	20	32
Don't know	10	3	13	25	2	10	12
Q.75. Satisfaction with accuracy of seven SSA performance measure 75.1. Efficiency	res for unit:						
Generally or very satisfied	15	29	35	36	38	28	34
Neither satisfied nor dissatisfied	34	18	27	26	22	36	27
Neturer sausiled nor dissausiled							
Generally or very dissatisfied		16	19	26	28	15	19
Generally or very dissatisfied  Not applicable	15 38	16 37	19 19	26 13	28 13	15 21	19 20

		_		Field 0	TTICES		
	Headqua Systems		Do/Bo	ОНА	Area office	Other	Total
5.2. Quality			•				
Generally or very satisfied	42	48	50	36	59	59	49
Neither satisfied nor dissatisfied	16	15	14	20	5	10	14
Generally or very dissatisfied	19	21	36	35	37	29	34
Not applicable	23	16	0	9	0	2	3
5.3. Timeliness	40	55	76	46	86	74	72
Generally or very satisfied	49 18	14	11	25	5	20	1
Neither satisfied nor dissatisfied Generally or very dissatisfied	15	16	13	22	9	4	1
Not applicable	18	15	0	7	ŏ	ż	•
5.4. Unit cost							
Generally or very satisfied	5	7	5	8	3	15	
Neither satisfied nor dissatisfied	16	16	15	21	41	20	17
Generally or very dissatisfied	_5	_5	_6	_5	13	7	'
Not applicable	74	72	74	67	44	59	7
5.5. Quantity/volume*	00	40	en	41	71	69	59
Generally or very satisfied	23 19	43 14	63 17	41 15	11	17	17
Neither satisfied nor dissatisfied	11	11	14	39	12	0	15
Generally or very dissatisfied Not applicable	47	32	6	6	6	15	'(
5.6. Backlog							
Generally or very satisfied	13	31	35	39	40	42	38
Neither satisfied nor dissatisfied	13	11	27	27	32	19	25
Generally or very dissatisfied	_3	_4	.8	18	8	2	8
Not applicable	71	54	30	17	20	38	32
5.7. Courtesy	E	20	43	39	48	29	40
Generally or very satisfied	5 10	23	20	28	23	31	2
Neither satisfied nor dissatisfied Generally or very dissatisfied	5	5	16	11	23	10	19
Not applicable	8Ŏ	52	21	22	5	31	29
2.76. Whether unit keeps supplemental performance management re	cords in ad	dition to	required	I SSA re	cord kee	ping:*	
Yes	11	31	61	40	58	33	55
No	89	69	39	60	42	67	45
2.77. Reasons for keeping supplemental records (for those that said	they kept ti	<b>hem):</b> 14	14	12	22	6	14
Current records are inaccurate	14	66	51	33	49	63	50
Current records are incomplete* Current records are untimely*	0	28	46	14	76	31	44
Current records are inappropriate	29	17	16	21	14	13	10
Data will be needed in future*	57	41	27	50	30	25	29
Personal interests	29	41	38	36	24	50	3
2.78. Narrative comment: examples of supplemental records kept.							
/ill.1 Assessment of Performance							
2.79. Whether managers receive information from SSA's work measu	rement sys	tem to	assess ur	nits' perf	ormance	):*	
Yes	16	23	94	39	97	61	8
No	75	75	5	36	2	33	1
Dan Malanau	8	2	1	25	2	6	
Don't know  2.80. Narrative comment: list of reports or other products received from							

		_		Field O	ffices		
	<u>Headqua</u> Systems		Do/Bo	ОНА	Area office	Other	Total
Q.81. Importance of reports from work measurement system to uni	<del></del>						
Generally or very important	9	76	95	62	97	90	93
Neither important nor unimportant	36	10	4	26	2	3	5 3
Generally or very unimportant	55	14	2	13	2	7	3
Q.82. Usefulness of reports from work measurement system (for the	ose that recei	ive then	n per Q.79	)):			
Useful	0	41	72	49	87	69	<i>-</i> 70
Somewhat useful	60	50	26	42	13	21	27
Not useful	40	9	2	9	0	10	3
Q.83. Problems of work measurement reports (for those that receive	e them per Q.	79):					
Need corrections*	60	27	16	29	30	29	18
Need more clarification	30	27	13	17	8	25	14
Need further analysis	50	41	35	42	30	50	36
Untimely*	20	32	48	24	60	39	47
Incomplete	50	23	10	15	18	25	12
Reports do not have any problems	30	14	14	17	8	21	18
Q.84. Nature of unit's performance over past 2 years:							
Improving	49	48	45	39	60	49	46
Stable	30	33	44	41	31	41	42
Declining	21	17	11	19	9	10	12
Don't know	0	2	00	0	0	0	0
Q.85. Extent to which seven factors have caused unit performance	to decline (for	r those	who said	it declin	ed in Q.8	14):	
85.1. Changes (increases or decreases) in workload volume	0.4		40	00	4-7	40	40
Great or very great extent	31	44	43 25	62	17 67	40 20	43 24
Moderate extent Some, or little or no extent	23 46	19 38	32	10 29	17	40	33
, , , , , , , , , , , , , , , , , , , ,	40	- 30		29	17	40	
85.2. Changes (increase or decreases) in staff level	77	00	~~~	r	07	100	75
Great or very great extent	77 8	88	75 11	57 14	67 17	100	75 10
Moderate extent Some, or little or no extent	15	0 13	14	29	17	0 0	16
***************************************	10						
85.3. Physical move	8	25	0	5	0	0	3
Great or very great extent  Moderate extent	25	6	11	10	ŏ	Õ	11
Some, or little or no extent	42	44	29	33	50	40	32
Not applicable	25	25	61	52	50	60 60	54
85.4. Reorganization/consolidation							
Great or very great extent	54	50	4	57	. 0	0	17
Moderate extent	Ö	13	10	14	Ŏ	ŏ	10
Some, or little or no extent	31	19	43	10	67	60	37
Not applicable	15	19	43	19	33	40	36
85.5. New laws/executive orders			***************************************				
Great or very great extent	0	19	37	62	17	20	35
Moderate extent	8	Ö	22	19	17	0	18
Some, or little or no extent	62	63	33	14	33	60	37
Not applicable	31	19	7	5	33	20	11
85.6. Revised operating procedure	***************************************						
Great or very great extent	15	25	29	38	33	20	28
Moderate extent	8	13	29	29	33	0	25
Some, or little or no extent	69	56	39	24	17	60 20	41
Not applicable	8			10	17		6

	~			Field O	ffices		
	Headqua Systems		Do/Bo	OHA	Area office	Other	Total
85.7. Changes in staff morale	Oyatema	Other	00/00	UIIA	OINCE	- Culoi	10101
Great or very great extent	77	69	56	57	50	40	158
Moderate extent	0	13	15	24	50	0	15
Some, or little or no extent	23	13	26	19	Ō	60	24
Not applicable	0	6	4	0	0	0	3
Q.86. How managers held accountable for improving unit performance				_			
Organizational performance goals in their performance plans	34	50	36	6	39	25	34
Organizational performance goals but not in their performance plans A mix of organizational performance goals that are in their performance	2	1	12	24	0	0	11
plans and those not in their performance plans	58	45	49	16	62	76	48
Other	2	ŏ	2	5	Õ	Ő	72
Not formally held accountable	5	4	ī	51	Ŏ	Ŏ	5
Q.87. Emphasis SSA has placed on minimizing cost:*							
Great or very great emphasis	24	58	35	29	48	45	37
Moderate emphasis	38	30	33	28	32	37	32
Some, or little or no emphasis	38	13	32	43	20	18	31
VIII.2. Performance Appraisals							
Q.88. Extent to which managers' most recent performance appraisal r	epresented	a fair a	and accur	ate pict	ure of ac	tual	
job performance:* Great or very great extent	27	41	51	2	49	57	46
Moderate extent	41	34	30	8	32	25	29
Some, or little or no extent	32	23	19	3	19	18	19
Not applicable	ō	2	. 0	87	Ö	Ö	6
Q.89. Narrative comment: reasons for managers indicating that their p some, little or no extent (Q. 88).	erformanc	e appra	isals wer	fair and	d accura	te to	
Q.90. Extent to which six problems exist in SSA's current appraisal sy	etam:						
90.1. Lack of performance standards	steili.						
Great or very great extent	18	10	5	5	2	2	6
Moderate extent	19	6	9	5 7	9	4	9
Some, or little or no extent	57	82	83	69	85	90	82
Don't know	7	2	3	19	5	4	4
90.2. Standards do not define employee performance expectations						_	_
Great or very great extent	31	15	19	16	9	2	18
Moderate extent	18	10	18	11	1/1		
Constant PARIS and the standards and the standar					14	12	10
Some, or little or no extent	48	73	62	56	74	82	63
Don't know						12 82 4	63
Don't know 90.3. Performance standards are not linked to program objectives	48 3	73 2	62 2	56 17	74	82 4	63
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent	48 3 28	73 2 14	62 2 9	56 17 21	74 3 5	82 4 0	63 3 11
Don't know 90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent	48 3 28 8	73 2 14 10	62 2 9 13	56 17 21 7	74 3 5 9	82 4 0 14	63 3 11 12
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent	48 3 28	73 2 14	62 2 9	56 17 21	74 3 5	82 4 0	63 3 11 12 74
Don't know 90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know	28 8 61	73 2 14 10 74	62 2 9 13 76	56 17 21 7 54	74 3 5 9 83	82 4 0 14 84	63 3 11 12 74
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance	48 3 28 8 61 3	73 2 14 10 74 2	62 2 9 13 76	56 17 21 7 54	74 3 5 9 83	82 4 0 14 84	63 3 11 12 74 3
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance Great or very great extent Moderate extent	48 3 28 8 61 3	73 2 14 10 74 2 21 20	62 2 9 13 76 2	56 17 21 7 54 19 21 21	74 3 5 9 83 3	82 4 0 14 84 2 8 16	63 3 11 12 74 3 13
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance Great or very great extent Moderate extent Some, or little or no extent	48 3 28 8 61 3 23 25 50	73 2 14 10 74 2 21 20 57	62 2 9 13 76 2 12 15 70	56 17 21 7 54 19 21 21 46	74 3 5 9 83 3 9 11 80	82 4 0 14 84 2 8 16 76	63 3 11 12 74 3 13 16 68
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance Great or very great extent Moderate extent Some, or little or no extent Don't know	48 3 28 8 61 3	73 2 14 10 74 2 21 20	62 2 9 13 76 2	56 17 21 7 54 19 21 21	74 3 5 9 83 3	82 4 0 14 84 2 8 16	63 3 11 12 74 3 13 16 68
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance Great or very great extent Moderate extent Some, or little or no extent Don't know  90.5. Too much paperwork*	48 3 28 8 61 3 23 25 50 2	73 2 14 10 74 2 21 20 57 2	9 13 76 2 12 15 70 3	56 17 21 7 54 19 21 21 46 13	74 3 5 9 83 3 9 11 80 0	82 4 0 14 84 2 8 16 76 0	63 3 11 12 74 3 13 16 68 3
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance Great or very great extent Moderate extent Some, or little or no extent Don't know  90.5. Too much paperwork* Great or very great extent	48 3 28 8 61 3 23 25 50 2	73 2 14 10 74 2 21 20 57 2	9 13 76 2 12 15 70 3	56 17 21 7 54 19 21 21 46 13	74 3 5 9 83 3 9 11 80 0	82 4 0 14 84 2 8 16 76 0	63 3 11 12 74 3 16 68 3
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance Great or very great extent Moderate extent Some, or little or no extent Don't know  90.5. Too much paperwork* Great or very great extent Moderate extent Moderate extent Moderate extent	48 3 28 8 61 3 23 25 50 2	73 2 14 10 74 2 21 20 57 2	9 13 76 2 12 15 70 3	56 17 21 7 54 19 21 21 46 13 33 17	74 3 5 9 83 3 9 11 80 0	82 4 0 14 84 2 8 16 76 0	16 63 3 11 12 74 3 13 16 68 3 29 20
Don't know  90.3. Performance standards are not linked to program objectives Great or very great extent Moderate extent Some, or little or no extent Don't know  90.4. Written appraisals do not reflect employees' performance Great or very great extent Moderate extent Some, or little or no extent Don't know  90.5. Too much paperwork* Great or very great extent	48 3 28 8 61 3 23 25 50	73 2 14 10 74 2 21 20 57 2	9 13 76 2 12 15 70 3	56 17 21 7 54 19 21 21 46 13	74 3 5 9 83 3 9 11 80 0	82 4 0 14 84 2 8 16 76 0	63 3 11 12 74 3 16 68 3

				Field C	ffices		
	Headqu Systems		Do/Bo	ОНА	Area office	Other	Tota
90.6. Too time consuming*	WATER	~~					
Great or very great extent	17	34	30	31	34	31	30
Moderate extent	23	18	18	19	22	31	19
Some, or little or no extent	58	46	50	39	45	39	49
Don't know	2	2	2	12	0	0	3
VIII.3. Awards Process							,
Q.91. Consistency in applying criteria for performance awards:					-		
Somewhat or very consistently	59	59	62	64	83	82	63
Borderline	18	14	19	15	9	. 8	18
Somewhat or very inconsistently	23	28	19	21	8	10	19
<b>Q.92.</b> Opinion as to the best method to allocate funds for awards:*  Overall performance of manager's unit compared to SSA overall	11	15	25	19	6	6	22
Unit's per capita share of total workforce	23	21	8	7	17	8	10
A combination of overall performance of a unit and a unit's per capita	20		Ŭ	,	• • •	· ·	,,,
share of total workforce	57	54	62	61	75	83	63
Other	10	10	5	14	2	2	6
IX. Reviews and evaluations							
Q.93. Any internal SSA or HHS reviews, assessments, or evaluations	of unit oper	ations i	n the last	year:*			
Yes	26	39	89	60	82	74	80
No	74	61	12	40	19	27	20
Q.94. Types of assessments, reviews, or evaluations performed on u	nit by SSA c	or HHS in	n last yea				
Security reviews*	56	46	95	8	93	86	88
Office of the Inspector General reviews	81	19	4	5	15	36	7
Office of Assessment reviews*	44	27	15	5	53	64	18
Ad hoc reviews by internal evaluation unit*	63	84	48	75	83	81	53
Other	19	22 (1) idan	26	35 2) reach	21	33	26
Q.95. Helpfulness of internal reviews of unit operations in assisting nunit:	ianagers to	(I) Iden	iury and (	z) resolv	e proble	ms m	
95.1. Identify problems							
Somewhat or very helpful	56	76	82	77	89	94	82
Neither helpful nor unhelpful	31	5	10	19	8	6	10
Somewhat or very unhelpful	13	19	8	5	4	0	8
95.2. Resolve problems Somewhat or very helpful	50	62	66	55	70	64	65
Neither helpful nor unhelpful	19	16	21	29	26	25	22
Somewhat or very unhelpful	31	22	14	15	4	11	14
Q.96. Extent to which unit experienced nine problems with results of	internal revi	ews or	evaluatio	ns of un	it:		
96.1. Data not recent enough	40	0	F		0	40	_
Great or very great extent	13	3	5 7	6	8	19	7
Moderate extent	0	8		6	13	3	
Some, or little or no extent Don't know	81 6	87 3	84 4	75 12	79 0	75 3	83 5
	<u>U</u>	<u> </u>		14	<u> </u>	<u> </u>	
96.2. Data not relevant to unit needs Great or very great extent	0	11	7	8	0	3	е
Moderate extent	13	6	7	8	8	6	7
Some, or little or no extent	81	81	81	75	91	86	82
Don't know	6	3	5	'š	2	6	5
	-					(con	tinued

1 76 2 66 3 70 3 88 3	8 3 5 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	3 11 3 11 5 69 9 15 9 15	6 6 87 2 4 6 87 4 8 8 83 2 94 4 4 9	Other  3 6 89 3 0 111 833 6 6 0 3 94 3 3 8 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	9 79 4 6 9 81 5 2 3 85 9
24 66 67 68 68 68 68 68	8	116 69 9 12 15 15 15 15 15 15 15 15 15 15 15 15 15	6 87 2 4 6 87 4 8 8 83 2 94 4	6 89 3 0 11 83 6 3 11 80 6 0 3 94 3	77 85 5 77 9 79 4 6 9 81 5 3 85 9
66 66 70 68 89 16 14 66	5 8 80 83 4 22 4 5 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	15 15 63 14 8 8 63 15 15 66 66 23 12 11 11	8 8 83 2 0 22 94 4	11 83 6 3 11 80 6 0 3 94 3	9 81 5 2 3 85 9
70 6 88 89 14 66	5 8 70 83 3 5 0 3 8 3 9 86 3 9	8 8 63 63 15 15 15 15 15 15 15 15 15 15 15 15 15	8 83 2 0 2 94 4	11 80 6 0 3 94 3	3 85 9 10 13
16 14 65	8 3 9 86 3 9 6 9 4 13	9 6 66 0 23 1 12	2 94 4 4 9	3 94 3 8 6	13
14 65	4 13	11	9	6	10 13
	5 5			83 3	73 5
73	0 11	9 71	6 10 85 0	0 8 89 3	10 11 77 4
91 91	0 1 0 2 1 90 9 6	2 77	2 4 92 2	0 0 94 6	1 2 90 7
100	0 100	100	100	100	4 96
nager's	s unit mo	st freque	ıtly uses	in its	
		6	97 0	75 9 17	93 4 3
	0 10 1anager' s: 7	0 100 100 nanager's unit mos s: 73 96 11 4	0 100 100 100 nanager's unit most frequers:  73 96 86 11 4 6	0 100 100 100 100 100 nanager's unit most frequently uses its:  73 96 86 97 11 4 6 0	0 100 100 100 100 100 nanager's unit most frequently uses in its s: 73 96 86 97 75 11 4 6 0 9

				Field 0	ffices		
	Headqu		D-/D-	0114	Area	045	Takal
	Systems		Do/Bo	OHA	office	Other	Total
Q.100. Extent to which improvements in computer system support wand (3) timeliness of unit operations:	ould result II	n better	(1) efficie	ency, (2)	effective	eness,	
100.1. Better efficiency of operations							
Great or very great extent		68	82	59	88	70	79
Moderate extent		12	13	23	5	19	14
Some, or little or no extent Don't know		18 2	4 2	1 <b>1</b> 8	6 2	6 4	5 2
100.2. Better effectiveness of operations					<u> </u>	7	
Great or very great extent		72	80	59	81	68	78
Moderate extent		10	14	19	8	21	14
Some, or little or no extent		16	4	14	9	9	6
Don't know		2	2	8	2	2	2
100.3. Better timeliness of operations							
Great or very great extent		70	80	60	83	75	78
Moderate extent Some, or little or no extent		11 17	13 5	20 12	_ 11 _ 5	19 4	14 6
Don't know		2	2	8	2	2	2
Q.101. Units (1) documentation of computer system needs and (2) su	hmission of				onal com		~
system support:		TOTTILAL	request it	or additi	onal con	ipatei	
101.1. Documentation of computer system needs*							
Yes		85	16	34	30	78	25
No Don't know		5 4	59 2	36 10	41 3	11 4	52 3
Not applicable		5	23	21	27	7	21
101.2. Submitted formal request for additional computer system support*							
Yes		84	15	31	25	77	23
No		7	61	39	44	11	53
Don't know		5	2	. 9	5	9	3
Not applicable		6	23	21	27	4	22
Q.102. Responsiveness of SSA to unit's expressed need for compute	er support:	52	60	62	73	69	60
Generally or very responsive Neither responsive nor nonresponsive		12	21	14	9	15	17
Generally or very unresponsive		36	19	24	1 <u>8</u>	15	23
Q.103. Changes in SSA computer system support provided to unit ov	er the past	vears:		17	***************************************		
Generally or greatly improved	o p	48	83	77	84	72	80
Remained the same		29	14	21	13	19	16
Generally or greatly declined		23	3	2	3	9	4
Q.104. Pace of systems modernization plan progress compared to ex	cpectation:	40	00	00	00	00	00
Somewhat or much faster pace		13 33	22 37	23 48	22 27	26 40	22 37
Neither faster nor slower pace Somewhat or much slower pace		54	42	30	52	34	42
Q.105. Extent to which SSA's priorities and plans for systems improv	amente mal						
Great or very great extent	ements mai	29	72	44	80	62	67
Moderate extent		28	20	28	14	23	21
Some, or little or no extent		43	9	28	6	15	12
Q.106. Adequacy of computer systems unit uses in supporting mana	gement info	rmation	needs:				
More or much more than adequate	-	9	12	32	19	4	13
Generally adequate Less or much less than adequate		40 51	53 35	49 20	42 40	62 34	52 35
Less of much less than adequate		10	<u></u>		<del>4</del> 0		
						(cor	ntinued)

				Field C	ffices		
	Headqua		Do/Bo	ОНА	Area office	Other	Tota
O 107 Fishers of redental for improved community community	Systems		DU/DU	UNA	Unice	Other	TOTA
Q.107. Extent of potential for improved computer support for manage Great or very great extent	gement needs	<b>s:</b> 64	74	59	89	57	72
Moderate extent		20	21	28		28	21
Some, or little or no extent		16	5	13	9 2	15	7
Q.108. Problems with computer support during past year:			***************************************		***************************************	***************************************	
Yes		73	55	38	71	66 -	56
No		27	45	62	29	34	44
Q.109. Kinds of problems had with SSA computer support (Q.108):							
Computer system "down" time*		63	87	58	71	81	82
Incomplete or limited service provided*		72	32	42	58	58	38
Unresponsiveness		37	23	26	24	36	25
Timeliness*		67	49	24	73	55	51
Lack of communication regarding possible system problems*		45	42	29	62	48	43
Other		16	8	11	7	10	9
Q.110. The one management or administrative operation that should	d receive the	greates	t priority	for syste	ems		
modernization: Title II Initial Claims			46	•	66	_	43
Title II Post-entitlement		29	40	:	66	43	40
Title XVI Initial Claims		23				***	
Title XVI Post-entitlement		•		•		•	
Wage Reporting		•	•	•	•	•	
Case Management		•	•	•		•	
Management Information		•	•	•	•	•	•
Word Processing		•	•	21	•	•	•
Quality Assurance		•	•	•	•	•	•
Other		•	•	•	•	•	•
Q.111. Extent to which top management is receiving the information	it needs to n	nanage	SSA:				
Great or very great extent	21	26	38	31	46	35	37
Moderate extent	43	44	40	34	42	57	41
Some, or little or no extent	37	30	22	34	12	8	23
Q.112. Top three priority issues managers would change if given the	opportunity:						
Q.112.1. Top priority issue	10	20		26	•	27	21
Clarity, timeliness, and appropriateness of policies and decisions Computer support	19	22	21	36	22	27	21
Q.112.2. Second priority issue					47		
Clarity, timeliness, and appropriateness of policies and decisions	40	•	•	14	17	•	•
Organizational structure	18	26	•	•	•	•	15
Computer support Recruitment system of SSA personnel	•	26	16	•	•		15
Planning process	•	•	•			17	
Training		•	•	14	•	•	•
Q.112.3. Third priority issue		***************************************					
Clarity, timeliness, and appropriateness of policies and decisions	•	16	•	•	20	•	
Organizational structure	•	16	•	•	•	•	•
Computer support	•	•	•	•	•	21	_
Improve morale	20	_	13	18	•		13

*Differences in responses over all six components are statistically significant.

# Results of Employees' Questionnaire St

### **Objectives**

In March 1986, we mailed a questionnaire to 1,094 ssa employees. The purpose of the questionnaire was to obtain the employees' perspectives on various factors related to their jobs, such as:

- · work assignments and supervision;
- the work unit environment and its relationship to SSA operations;
- · training, development, instructions, and guidance;
- performance appraisals;
- automation; and
- · organizational environment.

We also asked the employees several questions concerning their opinion about their jobs and the agency.

## Methodology

The questionnaire was pretested with 12 employees in various headquarters and field components in Baltimore and Westminster, Maryland. We also provided copies of the draft questionnaire to top SSA headquarters officials for review. Based on the results of the pretest and top officials' comments, we revised the questionnaire to help ensure that all questions were fair, relevant, easy to understand and answer, and relatively free of design flaws that could introduce bias or error into the study results. The responses to the pretest questionnaire were not included in the final results.

We mailed a standardized questionnaire to a sample of SSA employees at grade levels GS-4 through GS-13. We selected these employees using a random stratified statistical sample of employees in the six job series comprising most of the employees directly engaged in SSA program and ADP operations. These employees compose about 60 percent of all SSA employees. We excluded from consideration employees in five job series that represent clerical/administrative support activities such as secretaries, clerk typists, key punch operators, mail and file clerks, and general clerical services. Also, for each employee group selected we excluded all those above the position of first-line supervisor because we wanted to limit our sample to those employees who were either directly performing operations work or who were first-line supervisors.

For sampling purposes we stratified these employees into three groups that would allow us to make a valid projection of the responses for each group individually. The three groups were

the job series for claims and service representatives;

- the job series for other operations staff (such as benefit and claims authorizers, and claims clerks) and hearings assistants within the OHAS;
   and
- · the job series for computer specialists, analysts, and programmers.

Our sampling plan was designed to yield an expected sampling error of plus or minus 5 percent at a 95-percent confidence level for each group. The questionnaire was administered to the three groups by mail with one initial and three follow-up mailings.

We reduced the original universe and initial sample of employees for retirements, resignations, and deaths. The initial universe and the adjusted universe for each employee group are shown in table II.1.

	Original universe	Adjusted universe
Claims and service representatives	25,257	25,065
Other operations and hearings and appeals employees	18,297	17,830
Computer specialists	1,326	1,309
Total	44,880	44,204

Table II.2 shows the adjustments to the initial sample.

	Initial sample	Retired	Resigned	Deceased	No longer at SSA	Adjusted sample
Claims and service representatives	394	1	1	•	1	391
Other operations and hearings and appeals	392	1	3	1	5	382
Computer specialists	308	2	•	•	2	304
Total	1,094	4	4	1	8	1,077

A total of 905 employees responded to the questionnaire by our closing date of September 1986, for an overall response rate of 84.0 percent of the adjusted sample. The responses for each group are shown in table II.3.

		Responses		
	Adjusted sample	Number	Rate (percent	
Claims and service representatives	391	332	84.9	
Other operations and hearings and appeals	382	313	81.9	
Computer specialists	304	260	85.5	
Total	1,077	905	84.0	

We believe the results of the questionnaire are statistically projectable to our universe of SSA employees included in the groups we sampled.

## Employees' Responses

Employees' responses are shown in table II.4 for the three sample groups. The column headed:

- "CR & SR" represents the responses of claims and service representatives, their supervisors, and other employees in their job series, such as field representatives and data review technicians. Claims and service representatives and their supervisors make up about 92 percent of the group. Also, for the entire group, about 93 percent were in Do/Bos.
- "Other operations" represents the responses of other operations staff (such as benefit and claims authorizers and claims clerks) and hearings assistants in OHAS. The latter were all in OHAS, while most of the other operations employees (71 percent of claims clerks and 82 percent of claims authorizers) were in either Do/Bos or in program service centers.
- "Computer specialist" represents the responses of computer specialists, analysts, and programmers, of whom about 84 percent were in the headquarters systems component.

Unless otherwise noted, the numbers in table II.4 represent the percentages of employees in each group who responded. For narrative response questions, only the question is shown. In developing our percentages, we also used appropriate weighting and estimating techniques. In this regard, the percentages in the "total" column are the weighted estimate that applies to the entire ssa universe. For presentation purposes, we combined the first two and last two response categories for those questions that had a 5-point response scale—e.g., very great extent, great extent, moderate extent, some extent, and little or no extent. Also, we show only the affirmative responses for the screening part of some two-part questions—questions that had an initial screening question, which,

if answered in the affirmative, required a response to an extent scale question—e.g., question 23. The tabulation begins with the responses to question 8 because we excluded the background questions, such as grade level and length of service.

All response percentages with 0.5 or greater were rounded up to the next whole number, and those with less than 0.5 were rounded down; consequently, the responses for a question may not total to 100. Further, nonresponsive replies (i.e., missing responses) were not considered in the percentage computations. Nonresponsive replies were generally 5 percent or less. In most of these cases we believe the employees either misunderstood the question and/or the instructions, or inadvertently skipped it. This rationale is based on follow-up telephone interviews with many of those whose questionnaires had nonresponsive items.

We calculated sampling errors for the totals for key variables used in our report. All were within plus or minus 5 percentage points, except for parts of questions 32, 54, 77, and 83, where the sampling error ranged from 5.1 to 9.4 percentage points.

To obtain a nationwide perspective of employees' responses, we combined all their responses. This was accomplished through appropriate weighting and statistical testing and estimating techniques. Additionally, an asterisk is used to denote questions where the differences in responses over all three employee groups are statistically significant.

Figures in percents				
	CR&SR	Other operations	Computer specialist	Total
I. Work Assignments				
Q.8. Extent to which employees were assigned work by their supervisors 8.1. The supervisor assigned work according to the employee's level of job knowledge, skills, and abilities.*	under three co	nditions:		
Great or very great extent	68	67	70	68
Moderate extent	15	14	19	15
Some, or little or no extent	15	16 3	9 2	15
No opinion				
8.2. The supervisor assigned work that in the employee's opinion should have				
been done by workers at a lower level.*	0	Ω	4	Q
been done by workers at a lower level.*  Great or very great extent	9	8	4	8
been done by workers at a lower level.*	9 13 74	8 8 74	4 8 82	8 11 74

8.3 The supervisor as signed work that in the employee's opinion should have been done by workers at a higher level."         7         12           Great or very great extent         7         12           Moderate extent         82         68           No opinion         3         10           Q.9. Extent to which employees and their supervisors were in three conditions:         9.1           9.1. Allowed employees to suggest new ideas or methods for doing their work."         65         51           Great or very great extent Moderate extent         23         20           Some, or little or no extent         22         37           9.2. Encouraged employees to suggest new ideas or methods for doing their work."         45         35           Great or very great extent         45         35           Moderate extent         22         17           Some, or little or no extent         3         48           9.3. Been receptive to new ideas or methods for doing their work."         3         48           Great or very great extent Moderate extent         22         21           Some, or little or no extent         31         46         34           All Extent to which supervisors made efforts to motivate employees to do a betrip to be in the last year and or very great extent         25         24         17	r Computer s specialist	Total
Decen done by workers at a higher level.* Great or very great extent		
Moderate extent         8         9           Some, or little or no extent         82         69           No opinion         3         10           Q.9. Extent to which employees and their supervisors were in three conditions:           9.1. Allowed employees to suggest new ideas or methods for doing their work."           Great or very great extent         23         20           Moderate extent         22         37           9.2. Encouraged employees to suggest new ideas or methods for doing their work."         45         35           Great or very great extent         45         35           Moderate extent         22         17           Some, or little or no extent         33         48           9.3. Been receptive to new ideas or methods for doing their work."         46         34           Great or very great extent         22         21           Moderate extent         22         22           Coll, Extent to which supervisors made efforts to motivate employees to do a better job in the last year Great or very great extent         31         45           Moderate extent         26         22         25           Some, or little or no extent         26         22           No opinion         3         4		
Some, or little or no extent   No opinion   No opinion		9
No opinion   3   10		_9
Q.9. Extent to which employees and their supervisors were in three conditions:           9.1. Allowed employees to suggest new ideas or methods for doing their work."         3         2         3         20         3         20         3         20         3         20         3         20         3         20         3         20         3         20         3         20         3         20         3         20         3         20         3         20         3         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         20         30         30         30         30         30         30         30         30         30         30         30         30         30         30         30         30         30         30         30         30         30         <		76
9.1. Allowed employees to suggest new ideas or methods for doing their work."  Great or very great extent	) 6	6
Speak or very great extent		,
Moderate extent         23         20           Some, or little or no extent         22         37           9.2. Encouraged employees to suggest new ideas or methods for doing their work.*         35           Great or very great extent         22         17           Some, or little or no extent         22         17           Some, or little or no extent         46         34           93. Been receptive to new ideas or methods for doing their work.*         46         34           Great or very great extent         22         21           Some, or little or no extent         31         45           Q.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee         31         45           Q.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee         31         45           Q.11. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee         31         25           Moderate extent         30         30         3           No opinion         3         4         7           1.1. Type of work assignments*         2         17           Great or very great extent         25         24           Some, or little or no extent         13	. 70	E 1
Some, or little or no extent   22   37		51 21
9.2. Encouraged employees to suggest new ideas or methods for doing their work."       45       35         Great or very great extent       22       17         Some, or little or no extent       22       17         9.3. Been receptive to new ideas or methods for doing their work."       46       34         Great or very great extent       46       34         Moderate extent       22       21         Some, or little or no extent       31       45         Great or very great extent       26       22         Moderate extent       26       22         Some, or little or no extent       40       50         No opinion       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       11.1, Type of work assignments*         Great or very great extent       24       17         Moderate extent       25       24         Some, or little or no extent       13       10         11.2. Awards       14       13         Great or very great extent       14       13         Moderate extent       2       5         Some, or little or no extent       13       10         11.3. Disciplinary actions       2       5	7 13	28
Great or very great extent		
Moderate exitent         22         17           Some, or little or no extent         33         48           9.3. Been receptive to new ideas or methods for doing their work.*         46         34           Great or very great extent         46         34           Moderate extent         31         45           Q.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee         31         25           Great or very great extent         31         25           Moderate extent         40         50           No opinion         3         4           Q.11. Extent to which supervisors used six methods to motivate employees:         11.1. Type of work assignments*           Great or very great extent         24         17           Moderate extent         25         24           Some, or little or no extent         51         59           11.2. Awards         2         14         13           Great or very great extent         13         10           Moderate extent         2         5           Some, or little or no extent         3         2           11.4. General feedback         2         5           Great or very great extent         38         25<	5 58	41
Some, or little or no extent         33         48           9.3. Been receptive to new ideas or methods for doing their work." Great or very great extent		20
9.3. Been receptive to new ideas or methods for doing their work." Great or very great extent 46 34 Moderate extent 22 21 Some, or little or no extent 31 45  Q.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last ye Great or very great extent 26 22 Some, or little or no extent 40 50 No opinion 3 4  Q.11. Extent to which supervisors used six methods to motivate employees:  11.1. Type of work assignments* Great or very great extent 25 24 Some, or little or no extent 27 37 T1.2. Awards Great or very great extent 31 10 Some, or little or no extent 13 10 Some, or little or no extent 27 5 Some, or little or no extent 27 5 Some, or little or no extent 30 89  11.4. General feedback Great or very great extent 33 25 Moderate extent 33 25 Moderate extent 33 25 Moderate extent 38 26 Moderate extent 28 16 Moderate extent 29 24 Some, or little or no extent 29 24 Some, or little or no extent 29 24 Some, or little or no extent 28 16 Moderate extent 28 16 Moderate extent 28 16 Moderate extent 28 16 Moderate extent 29 24 Some, or little or no extent 39 34 Moderate extent 29 20 24 Some, or little or no extent 39 34 Moderate extent 39 34		38
Great or very great extent Moderate extent Some, or little or no extent       46       34         Some, or little or no extent       22       21         G.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee Great or very great extent       31       25         Moderate extent       26       22         Some, or little or no extent       40       50         No opinion       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       3       24       17       17       17       17       17       17       17       17       17       17       17       17       17       17       17       17       11       18       19		
Moderate extent         22         21           Some, or little or no extent         31         45           Q.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee Great or very great extent         31         25           Great or very great extent         26         22           Some, or little or no extent         40         50           No opinion         3         4           Q.11. Extent to which supervisors used six methods to motivate employees:         11.1. Type of work assignments*         24         17           Great or very great extent         25         24         17           Moderate extent         25         24           Some, or little or no extent         14         13         10           Some, or little or no extent         14         13         10           Some, or little or no extent         2         5         5           Moderate extent         2         5           Moderate extent         3         2         5           Moderate exten	63	42
Some, or little or no extent         31         45           Q.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee Great or very great extent         31         25           Moderate extent         26         22           Some, or little or no extent         40         50           No opinion         3         4           Content to which supervisors used six methods to motivate employees:           11.1. Type of work assignments*           Great or very great extent         24         17           Moderate extent         25         24           Some, or little or no extent         14         13           Moderate extent         14         13           Moderate extent         2         5           Moderate extent         2         5           Moderate extent         2         5           Moderate extent         3         25           Moderate extent         3         2           Some, or little or no ext		22
Q.10. Extent to which supervisors made efforts to motivate employees to do a better job in the last yee Great or very great extent       31       25         Great or very great extent       26       22         Some, or little or no extent       40       50         No opinion       3       4         Q.1. Extent to which supervisors used six methods to motivate employees:       11.1. Type of work assignments*       24       17         Great or very great extent       25       24         Moderate extent       25       24         Some, or little or no extent       14       13         11.2. Awards       13       10         Great or very great extent       14       13         Moderate extent       13       10         Some, or little or no extent       2       5         Moderate extent       2       5         Moderate extent       94       89         11.4. General feedback       33       25         Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       20 <td></td> <td>36</td>		36
Great or very great extent       31       25         Moderate extent       26       22         Some, or little or no extent       40       50         No opinion       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:         11.1. Type of work assignments*         Great or very great extent       24       17         Moderate extent       25       24         Some, or little or no extent       51       59         11.2. Awards       3       10         Great or very great extent       13       10         Moderate extent       13       10         Some, or little or no extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       33       25         Great or very great extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       27         Great or very great extent       28       26         Moderate extent       20       24         Some, or little or no extent       5		
Moderate extent         26         22           Some, or little or no extent         40         50           No opinion         3         4           Q.11. Extent to which supervisors used six methods to motivate employees:           11.1. Type of work assignments*           Great or very great extent         24         17           Moderate extent         25         24           Some, or little or no extent         14         13           Moderate extent         13         10           Some, or little or no extent         73         77           11.3. Disciplinary actions         2         5           Great or very great extent         4         6           Some, or little or no extent         94         89           11.4. General feedback         33         25           Great or very great extent         38         49           11.5. Training opportunities*         28         27           Great or very great extent         28         16           Moderate extent         20         24           Some, or little or no extent         52         60           11.5. Training opportunities*         28         27           Great or very great e		20
Some, or little or no extent       40       50         No opinion       3       4         Q.11. Extent to which supervisors used six methods to motivate employees:       11.1. Type of work assignments*         Great or very great extent       24       17         Moderate extent       25       24         Some, or little or no extent       51       59         11.2. Awards       3       10         Great or very great extent       13       10         Some, or little or no extent       13       10         Some, or little or no extent       2       5         Moderate extent       2       5         Some, or little or no extent       94       89         11.4. General feedback       33       25         Great or very great extent       38       25         Moderate extent       28       27         Some, or little or no extent       28       27         11.5. Training opportunities*       28       16         Great or very great extent       20       24         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34	31	29 25
No opinion       3       4         Q.1.1. Extent to which supervisors used six methods to motivate employees:       11.1. Type of work assignments*         Great or very great extent       24       17         Moderate extent       25       24         Some, or little or no extent       51       59         11.2. Awards       14       13         Great or very great extent       13       10         Some, or little or no extent       73       77         11.3. Disciplinary actions       2       5         Great or very great extent       4       6         Moderate extent       94       89         11.4. General feedback       33       25         Great or very great extent       38       25         Moderate extent       38       25         Some, or little or no extent       38       49         11.5. Training opportunities*       28       27         Great or very great extent       20       24         Moderate extent       20       24         Some, or little or no extent       52       60         11.5. Training opportunities*       28       16         Great or very great extent       20       24		43
Q.11. Extent to which supervisors used six methods to motivate employees:         11.1. Type of work assignments*       24       17         Great or very great extent       25       24         Moderate extent       25       24         Some, or little or no extent       15       59         11.2. Awards       14       13         Great or very great extent       13       10         Some, or little or no extent       2       5         Moderate extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       6       6         Great or very great extent       39       34         Moderate extent       27       27		3
11.1. Type of work assignments*       24       17         Great or very great extent       25       24         Some, or little or no extent       51       59         11.2. Awards       Great or very great extent       14       13         Moderate extent       13       10         Some, or little or no extent       73       77         11.3. Disciplinary actions       Great or very great extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       28       27         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       6       6         Great or very great extent       39       34         Moderate extent       27       27		
Great or very great extent       24       17         Moderate extent       25       24         Some, or little or no extent       51       59         11.2. Awards       Great or very great extent       14       13         Moderate extent       13       10         Some, or little or no extent       2       5         Moderate or very great extent       2       5         Moderate extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       6       6         Great or very great extent       39       34         Moderate extent       39       34         Moderate extent       27       27		
Moderate extent       25       24         Some, or little or no extent       51       59         11.2. Awards       Great or very great extent       14       13         Moderate extent       13       10         Some, or little or no extent       73       77         11.3. Disciplinary actions       Great or very great extent       2       5         Moderate extent       2       5         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27	' 41	22
Some, or little or no extent       51       59         11.2. Awards       Great or very great extent       14       13         Moderate extent       13       10         Some, or little or no extent       73       77         11.3. Disciplinary actions       Great or very great extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       20       24         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27		24
Great or very great extent       14       13         Moderate extent       13       10         Some, or little or no extent       73       77         11.3. Disciplinary actions       Great or very great extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       20       24         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27		52
Great or very great extent       14       13         Moderate extent       73       77         11.3. Disciplinary actions		
Moderate extent       13       10         Some, or little or no extent       73       77         11.3. Disciplinary actions       Great or very great extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27	3 16	13
Some, or little or no extent       73       77         11.3. Disciplinary actions         Great or very great extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback         Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*         Great or very great extent       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*         Great or very great extent       39       34         Moderate extent       39       34         Moderate extent       27       27		12
Great or very great extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27	75	74
Great or very great extent       2       5         Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27		
Moderate extent       4       6         Some, or little or no extent       94       89         11.4. General feedback       Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Moderate or very great extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Moderate extent       39       34         Moderate extent       27       27	5 1	3
11.4. General feedback       33       25         Great or very great extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       28       16         Great or very great extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27		5
Great or very great extent       33       25         Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*       Great or very great extent       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27	97	90
Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*		
Moderate extent       28       27         Some, or little or no extent       38       49         11.5. Training opportunities*	30	29
Some, or little or no extent       38       49         11.5. Training opportunities*         Great or very great extent         Moderate extent         Some, or little or no extent         120         24         Some, or little or no extent         52         60          11.6. Performance appraisals*         Great or very great extent         39         34         Moderate extent         27         27		27
Great or very great extent       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       Great or very great extent       39       34         Moderate extent       27       27	38	42
Great or very great extent       28       16         Moderate extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals*       39       34         Great or very great extent       39       34         Moderate extent       27       27		
Moderate extent Some, or little or no extent       20       24         Some, or little or no extent       52       60         11.6. Performance appraisals* Great or very great extent       39       34         Moderate extent       27       27	37	23 22
Some, or little or no extent 52 60  11.6. Performance appraisals* Great or very great extent 39 34 Moderate extent 27 27	30	22
Great or very great extent 39 34 Moderate extent 27 27	33	54
Great or very great extent 39 34 Moderate extent 27 27		
Moderate extent 27 27	31	36
Some or little or no extent	32	27
Some, or little or no extent 34 39	36	35

	CR&SR	Other operations	Computer specialist	Total
Q.12. Supervisor's helpfulness in finding ways to do a better job: Very helpful Somewhat helpful Not helpful	32 44 24	27 44 28	32 49 18	30 44 25
Q.13. Frequency with which employees were required to obtain supervisor				
themselves:*		·	•	_
Most of the time, or always or almost always About half the time Some of the time, or never or almost never	10 7 83	8 10 82	17 5 78	10 8 82
Q.14. Top three work measurement factors most emphasized by supervisor 14.1. The most important factor		20	52	41
Quality  14.2. The second most important factor* Timeliness	43 34	38	39	35
14.3. The third most important factor* Timeliness Efficiency	23	24	• 35	23
Q.15. Importance of seven factors in motivating employees to do a good jo				
15.1. Quality step increases* Generally or very important Neither important nor unimportant Generally or very unimportant	63 23 14	76 14 10	68 23 9	67 19 12
15.2. Sustained superior performance award* Generally or very important Neither important nor unimportant Generally or very unimportant	64 24 12	74 19 8	66 27 8	66 22 10
15.3. Job satisfaction Generally or very important Neither important nor unimportant Generally or very unimportant	97 2 1	94 4 2	99 2 0	95 3 1
15.4. Positive feedback from supervisor Generally or very important Neither important nor unimportant Generally or very unimportant	85 11 4	83 11 6	92 7 1	83 11 5
15.5. Peer pressure Generally or very important Neither important nor unimportant Generally or very unimportant	29 35 36	25 35 40	27 39 34	27 34 37
15.6. Promotion potential* Generally or very important Neither important nor unimportant Generally or very unimportant	65 22 13	77 13 10	74 18 8	70 18 12
15.7. Fear of disciplinary action* Generally or very important Neither important nor unimportant Generally or very unimportant	20 23 57	23 28 49	10 22 68	21 25 53
II. Supervision				
Q.16. Extent to which supervisor used unit staff's abilities to accomplish w				': 40
Great or very great extent Moderate extent	47 29	48 29 23	55 26	48 29 23
Some, or little or no extent	23	<u>ک</u> ځ	19	continued)

	CR&SR	Other operations	Computer specialist	Total
Q.17. Supervisor's adequacy in managing work:		······································		
More, or much more than adequate	50	45	63	48
Adequate	37	42	24	38
Less, or much less than adequate	13	14	13	13
Q.18. Adequacy of technical guidance received from supervisor:				
More, or much more than adequate	34	28	38	32
Adequate	42	42	38	42
Less, or much less than adequate	24	30	24	26
Q.19. Whether employees would like to become supervisors:		***************************************		
Yes	32	33	35	33
No	59	64	62	61
Not applicable/employee is a supervisor	8	2	2	6
Q.20. Reasons employees don't want to become supervisors (those who said '	'No" in Q.	19):		
Position involves too many frustrations	60	56	60	59
Do not want to manage people	28	43	49	35
Do not want the responsibility	16	33	22	23
Position not challenging enough	7	10	9	8
Position does not pay enough for the amount of work required	48	20	16	36
Other	18	15	40	17
Q.21. Whether supervisor measures unit's processing of (1) initial claims and (	2) post-en	titlement action	ns in an acceptat	ole
amount of time:			-	
21.1 Initial claims*			_	
Yes	72	80	.3	73
No	4	3	11	3 5
Don't know	2	9	.3	5
Does not apply	22	9	84	19
21.2. Post-entitlement actions*			_	
Yes	77	65	.6	70
No	10	.3	11	7
Don't know	8	13	_3	10
Does not apply	6	18	81	13
Q.22. Whether supervisor or someone else measures quality of the unit's work	:			
Yes	88	83	62	85
No	9	7	21	9
Don't know	3	10	17	6
Q.23. Whether (1) three unit work quality measures are used and (2) their useful 23.1. The measure is used	ılness:			
Accuracy—determines if any errors*	90	89	74	90
Reliability—determines if information or data are dependable*	61	55	74	59
Completeness—determines if information is missing*	89	77	76	84

	CR&SR	Other operations	Computer specialist	Total
23.2. Usefulness of the three quality measures of the unit's work (for those	**************************************			
units that use the measure)				
Accuracy—determines if any errors				•
Very useful	61	59	72	61
Somewhat useful	36	35	25	35
Not useful	2	4	1	3 2
Don't know	1	3	2	2
Reliability—determines if information or data are dependable			20	
Very useful	57	53	69	56
Somewhat useful	35	35	24	35
Not useful	2	3	2	2
Don't know	3	3	0	- 3
Completeness—determines if information is missing	0.4	20	05	0.4
Very useful	61	60	65	61
Somewhat useful	35	31	24	33
Not useful	1	4	2	2
Don't know	0	3	4	1
Q.24. Extent to which supervisors or others at a higher level (1) plan, (2) scho	edule, and (3	) organize emp	oloyees' work:	
Great or very great extent	15	27	29	20
Moderate extent	12	14	25	13
Some, or little or no extent	73	59	47	66
			4/	
24.2. Schedule work*			•	
Great or very great extent	17	26	24	20
Moderate extent	15	16	28	15
Some, or little or no extent	69	58	49	64
24.3. Organize work*				
Great or very great extent	9	19	10	13
Moderate extent	9	12	18	10
Some, or little or no extent	83	69	72	76
Q.25. Extent to which employees involved in (1) planning, (2) scheduling, and	d (3) organizi	ng their work:		
25.1. Planning work*	74	F-7	50	0.4
Great or very great extent	71	57	56	64
Moderate extent	12	10	18	11
Some, or little or no extent	18	34	26	24
25.2. Scheduling work*				
Great or very great extent	65	56	57	61
Moderate extent	14	10	21	12
Some, or little or no extent	22	34	22	27
25.3. Organizing work*				
	82	65	77	75
Great or very great extent		65	14	73
Moderate extent	6 11	8 27	9	17
Some, or little or no extent			3	1/
Q.26. Satisfaction with supervisor's decisions that affect employees work:				
Generally or very satisfied	68	63	73	66
Neither satisfied nor dissatisfied	19	22	16	20
Generally or very dissatisfied	14	15	10	14
III. The work unit environment				
Q.27. Employees' understanding of how their work relates to the units' goals		/es:		
Probably or definitely yes	96	94	92	95
Undecided	2	4	4	3
Probably or definitely no	2	2	4	2
				continued)

· · · · · · · · · · · · · · · · · · ·	CR&SR	Other operations	Computer specialist	Total
Q.28. Unit staff working as a group to meet unit's goals and objectives:				
Mildly or strongly encouraged	66	67	69	67
Neither encouraged nor discouraged	27	25	25	26
Mildly or strongly discouraged	77	7	6	7
Q.29. Extent to which individuals in unit encourage each other to give their I	best efforts:			
Great or very great extent	40	33	38	37
Moderate extent	25	27	29	26
Some, or little or no extent	35	40	33	37
Q.30. Proportion of work done by individual unit staff members:				
More or much more than their share	34	34	45	34
Equal to their share	54	52	44	53
Less, or much less than their share	13	14	11	13
Q.31. Frequency that corrective action has been taken against those who w		s than their sha		er Q.30: ୁ
More than half of the time, or about always or almost always	Ŏ	7	0	3
About half the time	2 91	0	0 97	1 87
Less than half the time, or never or almost never Don't know/no opinion	91 7	81 12	9/ 3	9
***************************************				
<ul> <li>Q.32. Six types of decisions for which employees' advice (1) was solicited to advice was used:</li> <li>32.1. Advice was solicited on</li> <li>1. Type or format of forms to be used</li> </ul>	y decision in	ancis ana (2) i	ne extent to wino	
Yes	43	28	30	37
No	36	45	16	39
Does not apply	20	25	54	23
Number of workers to handle the workload				
Yes	21	16	32	19
No Danagan da anala	62	58	37	60
Does not apply	17	24	31	20
Numbers and types of equipment (computers, processors, calculators) needed  Year  Yea	4.4	10	20	10
Yes No	11 64	12 58	36 34	12 61
Does not apply	24	28	31	26
4. Assignment of individuals to overtime duties	tu. T			
Yes	18	13	10	16
No	57	52	44	54
Does not apply	24	34	45	29
5. The flow of work process			***************************************	
Yes	52	40	39	47
No	40	44	35	42
Does not apply .	7	13	26	10
6. Best way to deal with increased automation				_
Yes	17	9	30	14
No	62	60	34	61
Does not apply	20	29	37	24

	CR&SR	Other operations	Computer specialist	Tota
32.2. Extent to which employees advice was used by decision makers for six types of decisions affecting the unit (for those employees who said their advice was solicited):				4
1. Type or format of forms to be used				
Great or very great extent	52	49	65	51
Moderate extent	29	35	31	30
Some, or little or no extent	19	16	4	17
Number of workers to handle workload				,
Great or very great extent	43	54	46	45
Moderate extent	32	20	39	28
Some, or little or no extent	25	26	16	24
3. Numbers and types of equipment needed				
Great or very great extent	43	52	54	44
Moderate extent	35	18	27	26
Some, or little or no extent	22	30	19	23
Assignment of individuals to overtime duties				
Great or very great extent	57	42	59	51
Moderate extent	28	39	33	29
Some, or little or no extent	15	24	7	17
5. The flow of work process			_	_
Great or very great extent	46	36	53	42
Moderate extent	34	37	31	34
Some, or little or no extent	20	27	17	22
Best way to deal with increased automation	40			
Great or very great extent	46	59	57	47
Moderate extent	32 22	19 22	22 21	26
Some, or little or no extent				21
Q.33. Frequency with which employees have performed job-related activities work have a stable.	es during non	work hours (se	minars, training, i	reading,
taking work home, etc):* Substantially or very often	15	5	19	11
Moderately often	15	12	19	14
Somewhat or not often	71	83	63	75
The state of the s				
Q.34. Frequency that employees worked in a crisis mode during the past y Most, or all or almost all the time	<b>/ear:</b> 23	20	17	21
About half the time	16	10	17	13
Some, or none or almost none of the time	62	71	66	65
The state of the s				
IV. Work unit and SSA operations				
Q.35. Quality of (1) the unit's work and (2) service to the public: 35.1. Unit's work quality				
Good or very good	96	92	93	94
Fair	2	5	6	4
Poor or very poor	1	Ō	1	1
Does not apply	0	3	1	1
35.2. Service to the public quality				
Good or very good	92	78	19	83
Fair	6	5	Ō	5
Poor or very poor	2	.0	0	1
Does not apply	1	17	81	9

(continued)

	CR&SR	Other operations	Computer specialist	Tota
Q.36. Quality of (1) unit's work and (2) service to the public compared to				
36.1. Unit's work quality	,			
Somewhat or much better	47	41	34	44
About the same	26	25	14	25
Somewhat or much worse	11 17	7 27	4 48	9 22
No basis to judge			40	
36.2. Service to the public quality*	20	00	10	, O.3
Somewhat or much better About the same	39 31	38 20	12 6	37 25
Somewhat or much worse	13	<u> </u>	1	20
No basis to judge	17	37	81	26
Q.37. Narrative comment: examples of how unit's work and/or service to	the public is be	tter than 3 year	s ago.	
Q.38. Narrative comment: examples of how unit's work and/or service to				
Q.39. Change in unit staff resources from fiscal year 1984 to 1985:*				***************************************
Gained	12	8	37	11
Remained the same	32	30	29	31
Lost	53_	59	31	55
Q.40. Effect of staff resource loss (Q.39) on unit's ability to produce quali				
Somewhat or significant positive effect	9	9	_5	_8
Neither positive nor negative effect	21	35	28	26
Somewhat or significant negative effect	68	47	54	56
Don't know	3	10	12	6
Q.41. Narrative comment: examples of negative effect of staff resource le	oss (per. Q. 40.)	•		
Q.42. Adequacy of unit's operations in seven areas:				
42.1. Quality of service provided to the public	60	C-1	17	50
More or much more than adequate Adequate	68 25	51 32	5	59 27
Less or much less than adequate	6	3	1	5
Does not apply/don't know	ž	14	76	9
42.2. Quality of work produced				
More or much more than adequate	71	65	78	68
Adequate	25	31	16	27
Less or much less than adequate	4	2	3	3
Does not apply/don't know	0	2	3	1
42.3. Timeliness				
More or much more than adequate	54	54	66	54
Adequate	36	38	26	36
Less or much less than adequate Does not apply/don't know	10 0	6 2	5 3	. 8
	· · · · · · · · · · · · · · · · · · ·			
42.4. Efficiency*	F-1	F0	CO	51
More or much more than adequate Adequate	51 38	53 37	63 24	37
Less or much less than adequate	9		7	7
Does not apply/don't know	ĭ	5 5	6	3
42.5. Cost*		***************************************	***************************************	
More or much more than adequate	22	17	20	20
Adequate	25	35	23	20 28
Less or much less than adequate	8	4	7	- 6
Does not apply/don't know	45	43	50	43
		A		continued)

	CR&SR	Other operations	Computer specialist	Total
42.6. Quantity/volume of work				
More or much more than adequate	64	59	52	61
Adequate	2 <u>8</u>	32	24	29
Less or much less than adequate	7	5	4	6
Does not apply/don't know	l	5	20	3
42.7. Backlog*  More or much more than adequate	36	40	16	36
Adequate	37	40 40	19	36
Less or much less than adequate	17	11	4	14
Does not apply/don't know	ii	10	61	11
Q.43. Narrative comment: examples of inadequacies in any of the areas liste	ed in Q.42.			
Q.44. Six performance indicators (1) used to measure employees' work, and 44.1. Indicators used	(2) employe	es' confidence	in them:	
Cost	8	8	7	8
Efficiency	78	75	63	76
Quality	96	90	86	94
Timeliness	96	89	91	93
Quantity/volume	92	86	35	88
Backlog*	69	71	18	68
44.2. Degree of confidence employees have in the six performance indicators used to measure their work (for those who said the indicator is used):				
1. Cost	40	20	05	22
Great or very great confidence  Moderate confidence	42 35	28 48	25 38	33 36
Some or little confidence	23	46 24	38	21
		<u></u>		
Efficiency*     Great or very great confidence	34	38	46	35
Moderate confidence	40	43	40	40
Some or little confidence	26	19	14	23
3. Quality*				
Great or very great confidence	41	42	53	41
Moderate confidence	36	34	33	35
Some or little confidence	23	24	14	23
4. Timeliness				
Great or very great confidence	36	40	49	37
Moderate confidence	38	39	34	38
Some or little confidence	26	22	17	24
5. Quantity/volume	00		20	07
Great or very great confidence	36	41	29	37
Moderate confidence Some or little confidence	35 29	36 24	38 33	35 26
	29			
6. Backlog Great or very great confidence	26	32	27	28
Moderate confidence	45	43	. 36	43
Some or little confidence	30	25	. 38	27
V. Training and developemnt 2.45. Number of formal agency-paid training courses, inside and outside of	SSA, attende	ed in the last ve	lar:	
None	58	64	12	59
One	27	19	13	24
Two	10	8	15	9
Three	3	5	15	4
Four or more	2	3	45	4

	CR&SR	Other operations	Computer specialist	Total
Q.46. Number of informal staff training sessions attended in the last year:				
None	8	18	40	13
One to three	10	31	39	19
Four to six	11	17	12	13
Seven to 10	11	. 9	6	10
Over 10	59	25	2	45
Q.47. Extent to which opportunities exist for employees to receive agency-	aid, job-rela	ted training fro	om (1) SSA and (2	) other
sources: 47.1. From SSA*				
Great or very great extent	9	6	53	9
Moderate extent	17	12	26	15
Some, or little or no extent	58	63	21	59
Don't know	16	18		16
47.2. From other than SSA*				
Great or very great extent	5	5	27	5
Moderate extent	11	5	23	9
Some, or little or no extent	55	53	44	53
Don't know	30	37	6	31
Q.48. The most frequent basis for determining which individuals receive train				
Based on unit needs	29	23	22	26
Based on new legislation	32	18		25
Based on future technical needs	3	11	14	7
Based on individual needs	8	11	32	10
Other	9	7	11	. 8
Don't know	18	25	16	21
<b>Q.49.</b> Amount of training employees received in the last year in three areas: 49.1. Training to develop career potential				
Somewhat more, or much more than needed	3	2	2	3
An appropriate amount	24	27	47	25
Somewhat less, or much less than needed	58	61	45	57
Do not need training	16	10	6	13
49.2. Training to improve job performance*				
Somewhat more, or much more than needed	8	5	4	6
An appropriate amount	58	49	61	54
Somewhat less, or much less than needed	30	35	30	31
Do not need training	4	11	6	7
49.3. Training to be able to perform the job*	_		_	
Somewhat more, or much more than needed	7	_6	_5	7
An appropriate amount	64	50	58	58
Somewhat less or much less than needed	23	31	29	26
Do not need training	6	13	8	9
Q.50. Overall quality of training received the past year in SSA:	10	10	00	1.4
More or much more than adequate	16	10	28	14
Adequate	60	60	50	59
Less or much less than adequate	25	30	22	27
VI. Instructions and guidance				
Q.51. Adequacy of written procedures, guidelines, and/or instructions receiv	ed to accom			
More or much more than adequate	15	19	11	16
Adequate	61	61	59	61
Less or much less than adequate	24	20	30	23
				continued)

	CR&SR	Other operations	Computer specialist	Tota
Q.52. Timeliness of SSA notification of changes in administrative work:*	or operational policies	and procedure	s that affect er	nployees'
Somewhat or very early Generally on time	9 55	11 51	5	. g 52
Somewhat or very late	37	39	48 47	37
Q.53. Whether employee uses the Program Operations Manual S	system (POMS) on the jo	<b>b:</b> 71	8	85
No	1	28	92	18
Q.54. Five problems (1) that the POMS has and (2) the extent of t 54.1. Problems with POMS	hese problems (for thos	e that use PON	1S per Q.53):	
Too frequent changes	74	69	33	72
Unclear, inconsistent, ambiguous instructions Changes which require supplemental instructions	78 82	65 78	81 48	74 81
Instructions not given on time	53	51	48 48	52
Too much material to manage and implement	77	71	43	75
54.2. Extent to which five problems exist in POMS (for those who said used POMS and also said the problem exists):  1. Too frequent changes	they			
Great or very great extent	52	45	17	48 29
Moderate extent Some, or little or no extent	29 20	34 22	67 17	29 20
2. Unclear, inconsistent, ambiguous instructions	20		17	۷۱
Great or very great extent	34	41	25	35
Moderate extent	32	31	38	31
Some, or little or no extent	34	28	38	32
Changes which require supplemental instructions     Great or very great extent	32	39	30	33
Moderate extent	35	30	20	33
Some, or little or no extent	33	31	50	31
Instructions not given on time	24			0.0
Great or very great extent  Moderate extent	24 31	31 28	60 20	26 29
Some, or little or no extent	45	41	20	43
5. Too much material to manage and implement			AND 111 (111 (111 (111 (111 (111 (111 (11	
Great or very great extent	57	45	38	52 <b>2</b> 5
Moderate extent Some, or little or no extent	24 19	29 26	50 13	20
anna, anna anna anna anna anna anna ann				
VII. Performance appraisal  Q.55. Whether unit uses standards to measure employee's work	performance:			
Yes	89	86	44	86
No	6	4	38	6
Don't know			17	7
Q.56. Extent to which generic job tasks (GJTs) accurately reflect Great or very great extent	the work the employee	goes in the uni	7 <b>1:</b> 26	35
Moderate extent	38	31	34	35
Some, or little or no extent	27	30	37	35 35 28 2
Not applicable/do not use GJTs	2	2	4	2
Q.57. Whether employee is required to work under written perfor Yes	mance standards in the 84	current positio	<b>n:</b> 42	81
No	5	6	35	6
Don't know	10	13	23	12
				(continued)

	CR&SR	Other operations	Computer specialist	Total
Q.58. Types of performance standards employees work under (fo	or those who said they h			
Cost Efficiency	5 62	5 61	4 58	5 62
Quality*	86	86	83	86
Timeliness*	84	76	79	81
Quantity/Volume	72	81	24	75
Q.59. Degree to which employee's job performance standards ar	e realistic:*			
Somewhat, or very realistic	64	56	67	60
Borderline	21	21	26	21
Somewhat, or very unrealistic	15	23	7	18
Q.60. Extent to which employee's performance appraisal presen Great or very great extent	ted a fair and accurate j 46	oicture of actua 42	ii job performand 50	: <b>e:</b> 44
Moderate extent	34	32	30	33
Some, or little or no extent	17	21	14	18
Not applicable/have not received appraisal	3	5	6	4
Q.61. Narrative comment: employees' reasons for indicating (in C of job performance to some, or little or no extent:	2.60.) that their appraisa	ls presented a	fair and accurate	e picture
Q.62. Whether employees received copy of their GJT for their cu				
Yes	93	92	82	93
No Don't know	3	4 4	12 4	4 2
		•		
Q.63. Extent to which employees received verbal or written feedle	oack from supervisors o 43	n their job perf 44	ormance: 37	43
Great or very great extent  Moderate extent	33	29	37 32	31
Some, or little or no extent	24	27	31	25
VIII. Automation		######################################	18 - P. B. Harrison	
Q.64. Extent to which employees' units use computers in six area	1 <b>S</b> :			
64.1. Claims processing*				
Great or very great extent	. 64	65	14	63
Moderate extent	8	. 8	1	8
Some, or little or no extent Does not apply	24	15 13	2 83	8 21
		10		
64.2. Word processing* Great or very great extent	7	42	39	21
Moderate extent	ģ 9	7	13	8
Some, or little or no extent	44	23	20	34
Does not apply	40	29	29	35
64.3. Handling post-entitlement actions*				
Great or very great extent	76	52	17	64
Moderate extent	10	.8	1	.9
Some, or little or no extent	10	17	3	12
Does not apply	4	23	80	14
64.4. Management information*	20	٥٢	07	00
Great or very great extent Moderate extent	20 13	25 11	37 16	22 12 22
Some, or little or no extent	22	21	24	22
Does not apply	45	43	23	42
64.5. Managing workloads*	Addition, Addition, Actions,			
Great or very great extent	19	34	21	24
Moderate extent	17	14	14	16
Some, or little or no extent	33	21	32	28
Does not apply	32	32	34	31
			(	continued)

	CR&SR	Other operations	Computer specialist	Total
64.6. Writing programs/developing software				
Great or very great extent	1	6	75	5
Moderate extent	2	_3	5	. 2
Some, or little or no extent	26	21	7	23
Does not apply	71	71	13	68
Q.65. Extent to which the computer assists employees in pr 65.1. Processing claims*	ocessing (1) claims and (2) p		t actions:	,
Great or very great extent	71	69	12	67
Moderate extent	5	7	0	5
Some, or little or no extent	5 20	14 11	8 80	9 <b>18</b>
Does not apply	20		<u> </u>	10
65.2. Processing post-entitlement actions*	0.4	F.4	47	00
Great or very great extent	84	54	17	69
Moderate extent	9 5	6 17	0 8	7 10
Some, or little or no extent  Does not apply	3	23	76	13
***************************************				
Q.66. Whether the SSA computer system has helped those Helped or greatly helped	empioyees who handle post- 90	entitiement act 49	16	70
Neither helped nor hindered	3	5	10	3
Hindered or greatly hindered	2	1	Ó	1
Do not handle post-entitlement actions/not applicable	6	46	83	23
Q.67. Extent to which employees use computers for their join	he.*			
Great or very great extent	75	53	82	66
Moderate extent	17	18	9	17
Some, or little or no extent	8	30	10	17
Q.68. Extent to which employees played a role in identifying	unit workload requirements	that should be	automated:*	<del>,</del>
Great or very great extent	3	6	21	4
Moderate extent	8	8	13	8
Some, or little or no extent	63	55	35	58
Not applicable	27	31	32	28
Q.69. Extent to which (1) the unit and (2) SSA is using compt 69.1. The unit*	uters to help employees on th	e job:		
Great or very great extent	65	53	76	60
Moderate extent	17	21	8	18
Some, or little or no extent	15	19	15	16
Don't know	3	8	2	5
69.2. SSA*				
Great or very great extent	64	60	72	62
Moderate extent	20	17	12	18
Some, or little or no extent	10	11	12	10
Don't know		12	5	8
Q.70. Extent to which it would be useful to automate proced			y:*	
Great or very great extent	65	43	27	54
Moderate extent	16	19 26	14 48	17 20
Some, or little or no extent Don't know	15 4	26 12	11	7
Q.71. Extent to which SSA has demonstrated to employees help them do their jobs better:*	ınat it nas taken auvantage o	i auvances in C	omputer technol	ogy to
Great or very great extent	27	33	46	30
Moderate extent	33	30	29	30 32
Some, or little or no extent	38	29	24	34
Not applicable	2	9	1	5

	CR&SR	Other operations	Computer specialist	Tota
Q.72. Whether in the past year the SSA computer system, overall, has helpe	ed employees	work at SSA:		
Great or very great extent	91	80	80	86
Moderate extent	6	9	14	٤
Some, or little or no extent	2	4	3	8
Don't know	1	7	3	3
Q.73. Extent to which SSA computer system has improved in the last 3 year	's:*			
Great or very great extent	39	36	53	, 38
Moderate extent	34	23	17	29
Some, or little or no extent	21	16	14	18
Don't know	6	26	16	14
Q.74. Functions which should be either automated or any existing automatic	on improved:*			
Processing initial claims	70	62	24	65
Processing post-entitlement actions	86	53	25	71
SSA operating instructions	66	42	40	55
Workload case management  Management information, such as resource allocation system or data on	51	38	29	45
timeliness	43	37	53	41
LI HOLLICOS	43	31		<b>**</b> :
IX. Organizational environment				
Q.75. Extent to which SSA's policies, methods, or procedures have been ch	anged to imp	rove unit opera	tions:*	
Great or very great extent	7	14	9	10
Moderate extent	33	26	16	30
Some, or little or no extent	56	50	59	53
No opinion	4	11	16	7
Q.76. Whether employees ever submitted a suggestion to SSA's employee	suggestion pr	ogram:		
Yes	37	25	25	32
No	61	73	75	66
Can't recall	2	2	1	2
Q.77. Reactions to suggestions employees submitted (for those who indicate	ted in Q.76 the	at they had sub	mitted them):	
Suggestion(s) was implemented	13	10	16	12
Suggestion(s) was initially rejected, but later implemented	_9	11	5	10
Suggestion(s) was rejected and never implemented	56	53	54	55
Do not know status of suggestion(s)	. 8	19	11	12
Other	14	7	14	12
Q.78. Whether SSA's suggestion program, overall, has been effective in end				
Probably or definitely yes	37	43	44	39
Undecided	23 40	30 27	33 24	26 34
Probably or definitely no	4U		24	34
Q.79. Extent to which employees understand their roles in their units:				
Great or very great extent	85	83	69	83
Moderate extent	12	11	21	12
Some, or little or no extent	4 0	5 1	10	4
No opinion				U
Q.80. Overall effect that SSA executive level management decisions had on	the employed			^-
Generally or significant positive effect	27	29	21	27
Neither positive nor negative effect	42	47	41	44
Generally or significant negative effect	24	14	31	20 8
Don't know	7	10	8	
			(1	continued)

	CR&SR	Other operations	Computer specialist	Total
Q.81. Nature of union influence on six job-related factors: 81.1. Job performance*				
Generally or significant positive effect	11	21	2	14
Neither positive nor negative effect	55	40	60	48
Generally or significant negative effect	8	5	4	7
No opinion	27	34	34	30
81.2. Job security				,
Generally or significant positive effect	24	29	14	25
Neither positive nor negative effect	46	33	50	41
Generally or significant negative effect	3	5	3 33	4
No opinion	27	34	33	29
81.3. Workload*				
Generally or significant positive effect	13	13	2	13
Neither positive nor negative effect	54	47	62 3	50 6
Generally or significant negative effect No opinion	7 27	5 36	33	30
81.4. Salary*	14	16	11	14
Generally or significant positive effect Neither positive nor negative effect	53	43	54	48
Generally or significant negative effect	6	7	4	6
No opinion	27	34	32	29
81.5. Benefits*				
Generally or significant positive effect	18	23	14	20
Neither positive nor negative effect	50	39	48	44
Generally or significant negative effect	7	6	5	6
No opinion	26	33	32	28
81.6. Job satisfaction*				
Generally or significant positive effect	_8	17	2	11
Neither positive nor negative effect	55	43	60	49
Generally or significant negative effect	9 29	7 33	5 34	8 29
No opinion	29			29
Q.82. Nature of unit morale	20	477	31	10
Generally or very high morale Neither high nor low morale	20 28	17 27	31 29	19 27
Generally or very low morale	52 52	55	40	53
No opinion	Õ	2	Õ	1
Q.83. Reasons for low unit morale (for those who said morale low in Q.82):				
Lack of stable leadership in SSA*	31	26	65	30
Increasing technological changes*	15	22	7	17
Expectation of a reduction-in-force*	31	41	27	35
Poor supervision in unit	34	37	30	35
Poor management in unit	33	30	41	32
Poor promotion potential*	56	72	67	63 22
Necessary training not available*	19	27	34	22
Uncertainty as to future of unit*	16	25	53	20
Uncertainty as to future of job* Uneven workload distribution*	17 52	37 41	36 30	26 47
	52			
	eu.	55	×	56
Too much emphasis on measures such as timeliness, productivity, etc.*  Not enough emphasis on employee development*	60 57	55 52	8 35	56 54

	CR&SR	Other operations	Computer specialist	Total
Q.84. Extent to which SSA gives consideration to the human	n factors, such as fear of cha	nge and the co	ncern of affected	staff,
prior to making major organizational or operational change	s:			
Great or very great extent	9	10	5	. 9
Moderate extent Some, or little or no extent	21 63	15 63	9 79	18 63
Don't know	6	12	7	8
Q.85. Extent to which support (tools, training, equipment, et			es the performa	
expected of employees:		40	00	40
Great or very great extent Moderate extent	18 39	19 41	22 42	18 39
Some, or little or no extent	43	40	36	41
Q.86. Extent to which employees are allowed to communicate	. •			
feel it is necessary:*			-	_
Great or very great extent	53	44	73	50
Moderate extent	26 21	25 21	16	25 24
Some, or little or no extent	۷۱	31	11	
X. Overall opinion of job and agency				
Q.87. Degree of SSA management's responsiveness to emplecisions, etc.:*	ployee units' needs for new e	quipment, info	rmation, requests	s for
Generally or very responsive	51	48	39	49
Neither responsive nor unresponsive	23	19	20	21
Generally or very unresponsive	23	20	34	22
Don't know	3	13	7	7
Q.88. Extent to which influences outside of SSA (HHS, Cong	gress, OMB) have affected em	iployees' job s	atisfaction:*	
Great or very great extent	37	23	29	31
Moderate extent	20	17	20	19
Some, or little or no extent Do not know	37 6	46 14	45 6	40 9
Q.89. Employees' overall satisfaction with 13 job-related ite 89.1. The work itself	ems:			
Generally or very satisfied	83	82	87	81
Neither satisfied/ dissatisfied	10	12	8	10
Generally or very dissatisfied	8	6	5	7
89.2. The pay*	F0	40	60	40
Generally or very satisfied Neither satisfied/ dissatisfied	52 12	43 12	68 14	49 12
Generally or very dissatisfied	36	44	18	39
89.3. The hours worked				
Generally or very satisfied	81	86	88	81
Neither satisfied/ dissatisfied	11	8	8	9
Generally or very dissatisfied	9	7	4	8
89.4. The coworkers				
Generally or very satisfied	82	81	88	80
Neither satisfied/ dissatisfied	13 5	15 4	9 4	14 5
Generally or very dissatisfied	3	4	4	
89.5. The way the unit is managed* Generally or very satisfied	57	58	63	56
Neither satisfied/ dissatisfied	21	21	17	20
Generally or very dissatisfied	23	22	20	22
				continued)

	CR&SR	Other operations	Computer specialist	Tota
89.6. The job classification*				
Generally or very satisfied	61	52	65	5
Neither satisfied/ dissatisfied	24	24	28	- 23
Generally or very dissatisfied	16	24	7	18
89.7. Career progression*				
Generally or very satisfied	42	30	46	3
Neither satisfied/ dissatisfied	26	23	22	2
Generally or very dissatisfied	32	47	31	3
89.8. The chances for advancement in the future*				
Generally or very satisfied	26	25	29	20
Neither satisfied/ dissatisfied	28	20	26	2
Generally or very dissatisfied	46	55	46	4:
39.9. The direction the agency is taking*				
Generally or very satisfied	36	31	33	30
Neither satisfied/ dissatisfied	40	45	39	4
Generally or very dissatisfied	24	24	28	24
39.10. The level of automation in the agency*				
Generally or very satisfied	59	49	65	5.
Neither satisfied/ dissatisfied	23	37	23	20
Generally or very dissatisfied	18	15	12	11
39.11. SSA's mission	0.4	00	00	0.
Generally or very satisfied	64	62	62	6
Neither satisfied/ dissatisfied	32	35	35	32
Generally or very dissatisfied	4	3	3	
39.12. Management concern for employees	20	20	0.4	0.0
Generally or very satisfied	29 28	28	24	28
Neither satisfied/ dissatisfied Generally or very dissatisfied	∠8 43	27 45	31 45	27 43
**************************************	40	40	40	40
39.13. Management use of measurement of statistics	20	25	14	20
Generally or very satisfied  Neither satisfied/ dissatisfied	28 39	25 44	67	26 41
Generally or very dissatisfied	34	31	20	32
2.90. Narrative comment: reasons for dissatisfaction with any item(s) in Q.89.				
2.91. The one management or operations change employees would make:*				
Improve automation of claims processing	9	5	2	7
Improve automation of post-entitlement actions	11	5 2	1	-
Improve automation of other activities, such as management information	0	1	4	•
Improve training and development	13	16	13	14
Improve performance measurement system (quality, timeliness, etc.)	5	8	4	6
Enhance promotional opportunities	13	28	29	19
Improve operations manual	8	5 5	1	
Increase emphasis on aspects related to service to public	8	5	4	
Increase flexibility of work hours	13	8	5	1.
Improve performance appraisal system Other	5 9	9 3	10 19	<del>6</del>
2.92. Extent to which SSA overall, has shown a commitment to providing a favo			***************************************	
2.92. Extent to which 55A overall, has shown a commitment to providing a rave Great or very great extent	orable wor 16	k environment 17	ror employees: 20	17
Moderate extent	43	36	40	40
Some, or little or no extent	41	47	40	43
	estions:		***************************************	

 $\ensuremath{^{\star}}\xspace \text{Differences}$  in responses over all three components are statistically significant.

Requests for copies of GAO reports should be sent to:

U.S. General Accounting Office Post Office Box 6015 Gaithersburg, Maryland 20877

Telephone 202-275-6241

The first five copies of each report are free. Additional copies are \$2.00 each.

There is a 25% discount on orders for  $100\ \mathrm{or}$  more copies mailed to a single address.

Orders must be prepaid by cash or by check or money order made out to the Superintendent of Documents. United States General Accounting Office Washington, D.C. 20548

Official Business Penalty for Private Use \$300

**Address Correction Requested** 

First-Class Mail Postage & Fees Paid GAO Permit No. G100